**DA 21-727**

**Released: June 21, 2021**

**REMINDER of JUNE 30, 2021 stir/shaken IMPLEMENTATION and robocall mitigation database FILING deadline**

**WC Docket No. 17-97**

This Public Notice reminds voice service providers and intermediate service providers not subject to an extension that they must implement the STIR/SHAKEN caller ID authentication framework in their Internet Protocol networks no later than **June 30, 2021**.[[1]](#footnote-3) We also remind voice service providers that they must file certifications in the Robocall Mitigation Database no later than **June 30, 2021**.[[2]](#footnote-4)

In March 2020, the Commission adopted rules pursuant to the Pallone-Thune Telephone Robocall Abuse Criminal Enforcement and Deterrence (TRACED) Act,[[3]](#footnote-5) requiring voice service providers to implement STIR/SHAKEN caller ID authentication technology in the Internet Protocol portions of their networks.[[4]](#footnote-6) In September 2020, the Commission granted extensions for compliance with this deadline to certain classes of providers,[[5]](#footnote-7) and required providers with an extension to implement robocall mitigation programs.[[6]](#footnote-8) Moreover, the Commission required “all voice service providers—not only those granted an extension—to file certifications with the Commission regarding their efforts to stem the origination of illegal robocalls on their networks.”[[7]](#footnote-9) On April 20, 2021, the Wireline Competition Bureau announced the immediate opening of the Robocall Mitigation database to accept these filings.[[8]](#footnote-10)

Those voice service providers and intermediate providers without an extension of, or exemption from, the STIR/SHAKEN implementation deadline that fail to implement the authentication framework by **June 30, 2021,** and those voice service providers that fail to file the required certification and accompanying information in the Robocall Mitigation Database by this date may be subject to appropriate enforcement action.[[9]](#footnote-11) In addition, beginning September 28, 2021, intermediate providers and voice service providers may not accept traffic directly from voice service providers that are not listed in the database.[[10]](#footnote-12)

For further information, please contact Michael Nemcik, Wireline Competition Bureau, Competition Policy Division, at (202) 418-2343 or by email at [Michael.Nemcik@fcc.gov](mailto:Michael.Nemcik@fcc.gov).

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1. *Call Authentication Trust Anchor; Implementation of TRACED Act Section 6(a)—Knowledge of Customers by Entities with Access to Numbering Resources*, WC Docket Nos. 17-97 and 20-67, Report and Order and Further Notice of Proposed Rulemaking, 35 FCC Rcd 3241, 3257-58, paras. 32-35 (2020) (*First Caller ID Authentication Report and Order and Further Notice*); 47 CFR § 64.6301. [↑](#footnote-ref-3)
2. *Call Authentication Trust Anchor*, WC Docket No. 17-97, Second Report and Order, 36 FCC Rcd 1859, 1902-03, para. 83 (2020) (*Second STIR/SHAKEN Order*); *Wireline Competition Bureau Announces Opening of Robocall Mitigation Database and Provides Filing Instructions and Deadlines*, WC Docket No. 17-97, Public Notice, DA 21-454, at 1 (WCB Apr. 20, 2021) (*RMD PN*); 47 CFR § 64.6305(b)(1). [↑](#footnote-ref-4)
3. Pallone-Thune Telephone Robocall Abuse Criminal Enforcement and Deterrence Act, Pub. L. No. 116-105 (2019) (TRACED Act). [↑](#footnote-ref-5)
4. *First Caller ID Authentication Report and Order and Further Notice,* 35 FCC Rcd at 3257-58, paras. 32-35; 47 CFR § 64.6301. [↑](#footnote-ref-6)
5. *Second STIR/SHAKEN Order,* 36 FCC Rcd at 1876, para. 38 (explaining that the Commission granted the following extensions from implementation of caller ID authentication: “(1) a two-year extension to small, including small rural, voice service providers; (2) an extension to voice service providers that cannot obtain a certificate due to the Governance Authority’s token access policy until such provider is able to obtain a certificate; (3) a one-year extension to services scheduled for section 214 discontinuance; and (4) as required by the TRACED Act, an extension for the parts of a voice service provider’s network that rely on technology that cannot initiate, maintain, and terminate SIP calls until a solution for such calls is reasonably available.”). [↑](#footnote-ref-7)
6. *Id*. at 1897, para. 74. [↑](#footnote-ref-8)
7. *Second STIR/SHAKEN Order,* 36 FCC Rcdat 1902, para. 82. Specifically, the Commission: (1) required all voice service providers to certify that their traffic is either fully, partially, or not yet signed with STIR/SHAKEN; (2) required voice service providers that certify that some or all of the calls they originate are subject to a robocall mitigation program to submit additional information with their certifications, including the type of extension or extensions received under section 64.6304 of the Commission’s rules, specific reasonable steps taken under a program to avoid originating illegal robocalls, and a commitment to respond to traceback requests and to cooperate with investigating and stopping illegal robocalls; and (3) required that all certifications must be signed by an officer in conformity with section 1.16 of the Commission’s rules. *Id*;47 CFR § 64.6305(b)(1)(i)-(iii); 47 CFR § 1.16. The Commission also adopted provisions directing voice service providers to submit contact and identification information when filing their certifications. *Second STIR/SHAKEN Order,* 36 FCC Rcdat 1903, para. 84; 47 CFR § 64.6305(b)(4)(i)-(v). [↑](#footnote-ref-9)
8. *RMD PN* at 1. [↑](#footnote-ref-10)
9. 47 U.S.C. § 503(b); *Second STIR/SHAKEN Order*, 36 FCC Rcd at 1903, para. 83 (“If we find that a certification is deficient in some way . . . we may take enforcement action as appropriate. Enforcement actions may include, among others . . . imposition of a forfeiture.”). [↑](#footnote-ref-11)
10. *RMD PN* at 1, 3; 47 CFR § 64.6305(c); *Second STIR/SHAKEN Order*, 36 FCC Rcd at 1904, para. 86. [↑](#footnote-ref-12)