

Before the
Federal Communications Commission
Washington, DC 20554

In re Application of
La Casa De La Raza
For Renewal of License for
Station KZAA-LP
Santa Barbara, California
Facility ID No. 196434
NAL/Acct. No. MB-202241410007
FRN: 0031459852
File No. 0000162528

MEMORANDUM OPINION AND ORDER
AND
NOTICE OF APPARENT LIABILITY FOR FORFEITURE

Adopted: February 25, 2022

Released: February 25, 2022

By the Chief, Audio Division, Media Bureau:

I. INTRODUCTION

1. The Media Bureau (Bureau) has before it the application (Application)1 of La Casa De La Raza (Licensee) for renewal of license for low power FM (LPFM) station KZAA-LP, Santa Barbara, California (Station). In this Memorandum Opinion and Order and Notice of Apparent Liability for Forfeiture (NAL),2 we find that the Licensee apparently willfully violated section 73.35393 of the Commission’s rules (Rules) by failing to timely file a license renewal application for the Station. Based upon our review of the facts and circumstances before us, we conclude that the Licensee is apparently liable for a monetary forfeiture in the amount of one thousand five hundred dollars (\$1,500).

II. BACKGROUND

1. Section 73.3539(a) of the Rules requires that applications for renewal of license for broadcast stations must be filed “not later than the first day of the fourth full calendar month prior to the expiration date of the license sought to be renewed.”4 An application for renewal of the Station’s license should have been filed by August 2, 2021, the first day of the fourth full calendar month prior to the Station’s December 1, 2021, license expiration date.5 The Application was not filed until October 1, 2021. The Licensee explains that it was “not aware” of the filing deadline due to staff changes.6 Licensee explains that it did not monitor the email accounts of its former employees in order to obtain communications associated with the renewal requirement.7 Licensee also states that it had limited staffing, due to the

1 Application File No. 0000162528 (filed Oct. 1, 2021) (Application).

2 This NAL is issued pursuant to sections 309(k) and 503(b) of the Communications Act of 1934, as amended (Act), and section 1.80 of the Rules. See 47 U.S.C. §§ 309(k), 503(b); 47 CFR § 1.80. The Bureau has delegated authority to issue the NAL under section 0.283 of the Commission’s rules. See 47 CFR § 0.283.

3 See 47 CFR § 73.3539.

4 47 CFR § 73.3539(a). This provision applies to LPFM stations, including Licensee’s station, by virtue of section 73.801 of the Rules. 47 CFR § 73.801.

5 See 47 CFR §§ 73.1020, 73.3539(a).

6 Application, Attachment, FCC License for Renewal for KZAA-LP 2021.

7 Id.

COVID-19 pandemic, and that its staff did not have time to “reenable the disused email account.”⁸ The Licensee explains that it has taken steps to ensure future compliance, including updating the Commission’s License Management System with email addresses that are more easily transferrable.⁹

III. DISCUSSION

2. *Proposed Forfeiture.* In this case, the Licensee failed to file the Application on or before August 2, 2021, as required by section 73.3539(a) of the Rules. The Application was not received until October 1, 2021. The Licensee explains that it was not aware of its filing obligation due to staffing changes and problems accessing the email accounts of its former employees.

3. This *NAL* is issued pursuant to section 503(b)(1)(B) of the Act. Under that provision, a person who is found to have willfully or repeatedly failed to comply with any provision of the Act or any rule, regulation, or order issued by the Commission shall be liable to the United States for a forfeiture penalty.¹⁰ Section 312(f)(1) of the Act defines willful as “the conscious and deliberate commission or omission of [any] act, irrespective of any intent to violate” the law.¹¹ The legislative history to section 312(f)(1) of the Act clarifies that this definition of willful applies to both sections 312 and 503(b) of the Act,¹² and the Commission has so interpreted the term in the section 503(b) context.¹³

4. The Commission’s *Forfeiture Policy Statement* and section 1.80(b)(9) of the Rules establish a base forfeiture amount of \$3,000 for the failure to file a required form.¹⁴ In determining the appropriate forfeiture amount, we may adjust the base amount upward or downward by considering the factors enumerated in section 503(b)(2)(E) of the Act, including “the nature, circumstances, extent and gravity of the violation, and, with respect to the violator, the degree of culpability, any history of prior offenses, ability to pay, and such other matters as justice may require.”¹⁵

5. In this case, the Licensee failed to file a timely renewal application for the Station. Licensee’s explanation that it was unaware of the filing deadline does not excuse its inaction. The Commission has been clear that ignorance of the law is not an excuse for failure to comply with Commission rules and regulations.¹⁶ In addition, correspondence reminding a licensee that a renewal deadline is approaching is a mere courtesy and does not in any way change the licensee’s obligation to file a renewal application before the deadline.¹⁷ However, the Licensee did file the Application prior to the

⁸ *Id.*

⁹ *Id.*

¹⁰ 47 U.S.C. § 503(b)(1)(B). *See also* 47 CFR § 1.80(a)(1).

¹¹ 47 U.S.C. § 312(f)(1).

¹² *See* H.R. Rep. No. 97-765, 97th Cong. 2d Sess. 51 (1982).

¹³ *See Southern California Broad. Co.*, Memorandum Opinion and Order, 6 FCC Rcd 4387, 4388, para. 5 (1991), *recon. denied*, Memorandum Opinion and Order, 7 FCC Rcd 3454 (1992).

¹⁴ *See Forfeiture Policy Statement and Amendment of Section 1.80(b) of the Rules to Incorporate the Forfeiture Guidelines*, Report and Order, 12 FCC Rcd 17087, 17113-15 (1997) (*Forfeiture Policy Statement*), *recon. denied*, 15 FCC Rcd 303 (1999); 47 CFR § 1.80(b)(9), note to paragraph (b)(9), Section I.

¹⁵ 47 U.S.C. § 503(b)(2)(E); *see also Forfeiture Policy Statement*, 12 FCC Rcd at 17100; 47 CFR § 1.80(b)(9).

¹⁶ *Provision Ministry*, Forfeiture Order, DA 21-765, 2021 WL 2689752, at *2 (MB June 29, 2021) (citing *Whidbey Island Center for the Arts*, Forfeiture Order, 25 FCC Rcd 8204 (MB 2010); *PJB Communications of Virginia, Inc.*, Memorandum Opinion and Order, 7 FCC Rcd 2088 (1992); *Southern California Broadcasting Co.*, Memorandum Opinion and Order, 6 FCC Rcd 4387, 4387 (1991), *recon. denied*, 7 FCC Rcd 3454 (1992) (stating that “inadvertence ... is at best, ignorance of the law, which the Commission does not consider a mitigating circumstance”)).

¹⁷ *Christian Radio Translator Association/Salmon, Inc.*, Forfeiture Order, 24 FCC Rcd 11288, 11289, para.6 (MB 2009) (holding that a Licensee’s mistaken assumption that it would receive a reminder of the filing date from the

(continued....)

expiration of the Station's license. Taking into consideration all of the factors required by section 503(b)(2)(E) of the Act and the *Forfeiture Policy Statement*, we will reduce the forfeiture from the base amount to \$1,500 for the failure to file a timely license renewal application because, as an LPFM station, the Station is providing a secondary service.¹⁸

6. *License Renewal Application.* In evaluating an application for license renewal, the Commission's decision is governed by section 309(k) of the Act.¹⁹ That section provides that if, upon consideration of the application and pleadings, we find that: (1) the station has served the public interest, convenience, and necessity; (2) there have been no serious violations of the Act or the Rules; and (3) there have been no other violations which, taken together, constitute a pattern of abuse, we are to grant the renewal application.²⁰ If, however, the licensee fails to meet that standard, the Commission may deny the application – after notice and opportunity for a hearing under section 309(e) of the Act – or grant the application “on terms and conditions that are appropriate, including a renewal for a term less than the maximum otherwise permitted.”²¹

7. We find that the Licensee's apparent violation of section 73.3539 of the Rules does not present “serious violations” warranting designation for evidentiary hearing. Moreover, we find no evidence of violations that, when considered together, constitute a pattern of abuse.²² Further, based on our review of the Application, we find that the Station served the public interest, convenience, and necessity during the subject license term. We will therefore grant the Application by separate action upon the conclusion of this forfeiture proceeding if there are no issues other than the apparent violation that would preclude grant of the Application.

IV. ORDERING CLAUSES

8. Accordingly, **IT IS ORDERED**, pursuant to section 503(b) of the Communications Act of 1934, as amended, and section 1.80 of the Commission's rules,²³ that La Casa De La Raza is hereby **NOTIFIED** of its **APPARENT LIABILITY FOR FORFEITURE** in the amount of one thousand five hundred dollars (\$1,500) for its apparent willful violation of section 73.3539 of the Commission's rules.²⁴

9. **IT IS FURTHER ORDERED**, pursuant to section 1.80 of the Commission's rules, that, within thirty (30) days of the release date of this Notice of Apparent Liability, La Casa De La Raza **SHALL PAY** the full amount of the proposed forfeiture or **SHALL FILE** a written statement seeking

Commission did not excuse the failure to file a timely renewal application); *Cutting Edge Broadcasting*, Memorandum Opinion and Order and Notice of Apparent Liability for Forfeiture, 27 FCC Rcd 19174, 19174, para. 2 (MB 2007) (failure to receive reminder notices because of outdated contact information does not excuse untimely filing).

¹⁸ See, e.g., *Virginia Center for Public Press*, Memorandum Opinion and Order and Notice of Apparent Liability, 34 FCC Rcd 9312 (MB 2019) (proposing \$1,500 forfeiture for untimely filed renewal application for LPFM station).

¹⁹ 47 U.S.C. § 309(k).

²⁰ 47 U.S.C. § 309(k)(1).

²¹ 47 U.S.C. §§ 309(k)(2), 309(k)(3).

²² For example, we do not find here that the Licensee's Station operation “was conducted in an exceedingly careless, inept and negligent manner and that the licensee is either incapable of correcting or unwilling to correct the operating deficiencies.” See *Heart of the Black Hills Stations*, Decision, 32 FCC 2d 196, 198, para. 6 (1971). Nor do we find on the record here that “the number, nature and extent” of the violations indicate that “the licensee cannot be relied upon to operate [the station] in the future in accordance with the requirements of its licenses and the Commission's Rules.” *Id.* at 200, para. 11. See also *Center for Study and Application of Black Econ. Dev.*, Hearing Designation Order, 6 FCC Rcd 4622 (1991); *Calvary Educ. Broad. Network, Inc.*, Hearing Designation Order, 7 FCC Rcd 4037 (1992).

²³ 47 U.S.C. § 503(b); 47 CFR § 1.80.

²⁴ 47 CFR § 73.3539.

reduction or cancellation of the proposed forfeiture.

10. Payment of the forfeiture must be made by credit card, ACH (Automated Clearing House) debit from a bank account using CORES (the Commission's online payment system),²⁵ or by wire transfer. Payments by check or money order to pay a forfeiture are no longer accepted. Below are instructions that payors should follow based on the form of payment selected:²⁶

- Payment by wire transfer must be made to ABA Number 021030004, receiving bank TREAS/NYC, and Account Number 27000001. A completed Form 159 must be faxed to the Federal Communications Commission at 202-418-2843 or e-mailed to RROGWireFaxes@fcc.gov on the same business day the wire transfer is initiated. Failure to provide all required information in Form 159 may result in payment not being recognized as having been received. When completing FCC Form 159, enter the Account Number in block number 23A (call sign/other ID), enter the letters "FORF" in block number 24A (payment type code), and enter in block number 11 the FRN(s) captioned above (Payor FRN).²⁷ For additional detail and wire transfer instructions, go to <https://www.fcc.gov/licensing-databases/fees/wire-transfer>.
- Payment by credit card must be made by using the Commission's Registration System (CORES) at <https://apps.fcc.gov/cores/userLogin.do>. To pay by credit card, log-in using the FCC Username associated to the FRN captioned above. If payment must be split across FRNs, complete this process for each FRN. Next, select "Manage Existing FRNs | FRN Financial | Bills & Fees" from the CORES Menu, then select FRN Financial and the view/make payments option next to the FRN. Select the "Open Bills" tab and find the bill number associated with the NAL/Acct. No. The bill number is the NAL Acct. No. (e.g., NAL/Acct. No. 1912345678 would be associated with FCC Bill Number 1912345678). After selecting the bill for payment, choose the "Pay by Credit Card" option. Please note that there is a \$24,999.99 limit on credit card transactions.
- Payment by ACH must be made by using the Commission's Registration System (CORES) at <https://apps.fcc.gov/cores/paymentFrnLogin.do>. To pay by ACH, log in using the FRN captioned above. If payment must be split across FRNs, complete this process for each FRN. Next, select "Manage Existing FRNs | FRN Financial | Bills & Fees" on the CORES Menu, then select FRN Financial and the view/make payments option next to the FRN. Select the "Open Bills" tab and find the bill number associated with the NAL/Acct. No. The bill number is the NAL/Acct. No. (e.g., NAL/Acct. No. 1912345678 would be associated with FCC Bill Number 1912345678). Finally, choose the "Pay from Bank Account" option. Please contact the appropriate financial institution to confirm the correct Routing Number and the correct account number from which payment will be made and verify with that financial institution that the designated account has authorization to accept ACH transactions.

11. Any request for making full payment over time under an installment plan should be sent to: Associate Managing Director—Financial Operations, Federal Communications Commission, 45 L Street, N.E., Washington, DC 20554.²⁸ Questions regarding payment procedures should be directed to the Financial Operations Group Help Desk by phone, 1-877-480-3201 (option #6), or by e-mail, ARINQUIRIES@fcc.gov.

12. Any written response seeking reduction or cancellation of the proposed forfeiture must

²⁵ Payments made using CORES do not require the submission of an FCC Form 159.

²⁶ For questions regarding payment procedures, please contact the Financial Operations Group Help Desk by phone at 1-877-480-3201 (option #6), or by e-mail at ARINQUIRIES@fcc.gov.

²⁷ Instructions for completing the form may be obtained at <https://www.fcc.gov/Forms/Form159/159.pdf>.

²⁸ See 47 CFR § 1.1914.

include a detailed factual statement supported by appropriate documentation and affidavits pursuant to sections 1.16 and 1.80(g)(3) of the Commission's rules.²⁹ The written response must be filed with the Office of the Secretary, Federal Communications Commission, 45 L Street NE, Washington DC 20554, ATTN: Albert Shuldiner, Chief, Audio Division, Media Bureau, and **MUST INCLUDE** the NAL/Acct. No. referenced above. Filings can be sent by commercial overnight courier, or by first-class or overnight U.S. Postal Service mail. All filings must be addressed to the Commission's Secretary, Office of the Secretary, Federal Communications Commission.³⁰ A courtesy copy emailed to Alexander.Sanjenis@fcc.gov will assist in processing the response.

- Commercial overnight mail (other than U.S. Postal Service Express Mail and Priority Mail) must be sent to 9050 Junction Drive, Annapolis Junction, MD 20701.
- Postal Service first-class, Express, and Priority mail must be addressed to 45 L Street, NE, Washington, DC 20554.

13. The Commission will not consider reducing or canceling a forfeiture in response to a claim of inability to pay unless the respondent submits: (1) federal tax returns for the most recent three-year period; (2) financial statements prepared according to generally accepted accounting practices (GAAP); or (3) some other reliable and objective documentation that accurately reflects the respondent's current financial status. Any claim of inability to pay must specifically identify the basis for the claim by reference to the financial documentation submitted. Inability to pay, however, is only one of several factors that the Commission will consider in determining the appropriate forfeiture, and we have discretion to not reduce or cancel the forfeiture if other prongs of section § 503(b)(2)(E) of the Communications Act of 1934, as amended, support that result.³¹

14. **IT IS FURTHER ORDERED**, that copies of this Notice of Apparent Liability shall be sent by First Class and Certified Mail, Return Receipt Requested, to Lisa Valencia Sherratt, Legal Representative, La Casa De La Raza, 601 East Montecito Street, Santa Barbara, CA 93103.

FEDERAL COMMUNICATIONS COMMISSION

Albert Shuldiner
Chief, Audio Division
Media Bureau

²⁹ 47 CFR §§ 1.16 and 1.80(g)(3).

³⁰ Effective March 19, 2020, and until further notice, the Commission no longer accepts any hand or messenger delivered filings. This is a temporary measure taken to help protect the health and safety of individuals, and to mitigate the transmission of COVID-19. *See FCC Announces Closure of FCC Headquarters Open Window and Change in Hand-Delivery Filing*, Public Notice, 35 FCC Rcd 2788 (2020).

³¹ 47 U.S.C. § 503(b)(2)(E). *See, e.g., Adrian Abramovich*, Forfeiture Order, 33 FCC Rcd 4663, 4678-79, paras. 44-45 (2018).