### Before the Federal Communications Commission Washington, DC 20554

In the Matter of	)	
	)	
Cable One, Inc.	)	File No.: 0009226187
	)	FRN: 0003474327
	)	
	)	

#### **ORDER**

Adopted: July 15, 2022 Released: July 15, 2022

By the Chief, Office of Economics and Analytics and Acting Chief, Wireless Telecommunications Bureau:

- 1. The Wireless Telecommunications Bureau and the Office of Economics and Analytics of the Federal Communications Commission (Commission) have entered into the attached Consent Decree. This Consent Decree resolves their Investigation into whether Cable One, Inc. (Cable One or Company) applied for certain licenses that, if granted, would violate the Commission's rules. Specifically, Cable One failed to maintain its eligibility pursuant to certifications made by Cable One pursuant to section 1.2105(a)(2)(v) and (vii),¹ and grant of certain licenses on which Cable One bid would not comply with the Priority Access License (PAL) aggregation limit under section 96.31 of the Commission's Rules (four-PAL aggregation limit).² The potential violation of such aggregation limit under section 96.31 would arise from attribution of PAL holdings in the same license area for both controlling interests and non-controlling interests of 10% or more in the spectrum.³
- 2. A primary objective of the bright-line four-PAL aggregation limit is to promote a minimum degree of ownership diversity by preventing one party from obtaining all seven available PALs in each license area, thereby "facilitat[ing] competition, innovation, and the efficient use of the 3.5 GHz band." To avoid a possible violation of the four-PAL aggregation limit, Cable One will abide by the terms of the attached Consent Decree, including implementing a Compliance Plan and either (i) amending its long-form application to remove all licenses that, if granted, would cause it to exceed the four-PAL aggregation limit in an identified market, or (ii) amending its long-form application to remove enough PALs in each identified market to avoid exceeding the four-PAL aggregation limit. Frequencies that would have been authorized for use with a PAL had Cable One or other similarly situated applicants not amended an application pursuant to the Consent Decree may be authorized for use by another eligible licensee with another PAL at a later date and, in the interim, remain immediately available for use pursuant to the applicable General Authorized Access (GAA) rules.<sup>5</sup>

<sup>&</sup>lt;sup>1</sup> 47 CFR § 1.2105(a)(2)(v), (vii).

<sup>&</sup>lt;sup>2</sup> 47 CFR § 96.31.

<sup>&</sup>lt;sup>3</sup> See 47 CFR §§ 1.2104, 96.31, 20.22(b).

<sup>&</sup>lt;sup>4</sup> For example, in the case where both winners use the licenses to provide substitute services (e.g., mobile service) in the same geographic area, a minimum of two independent winners will promote competition. *Promoting Investment in the 3550-3700 MHz Band*, GN Docket No. 17-258, Report and Order, 33 FCC Rcd 10598, 10653, para. 105 (2018) (2018 Report and Order).

<sup>&</sup>lt;sup>5</sup> See 47 CFR § 96.35(a).

- The amendment of a long-form application to remove a license for which the applicant was the winning bidder constitutes a default pursuant to section 1.2109(c) of the Commission's rules.<sup>6</sup> Under that rule, a winning bidder who defaults or is disqualified for any reason is subject to the default payments established by section 1.2104(g).7 Pursuant to section 1.925(a), the Commission may, however, waive specific requirements of its rules on its own motion.8 We find that, in view of the unique factual circumstances of this case, application of section 1.2104(g)(2) would be unduly burdensome or contrary to the public interest.9 In the instant case, granting an application with respect to winning bids for certain designated PALs and accepting the amendment of an application with respect to removal of other winning bids to the extent necessary to enforce the aggregation limit in section 96.31 serves the public interest because such action permits the Commission both to award PALs to those entities that have demonstrated through competitive bidding that they value those PALs most highly and to preserve the integrity of the four-PAL aggregation limit. Furthermore, Auction 105 is unique because the frequencies that are the subject of an amended application will remain available for GAA use. The technical rules are the same for GAA and PAL users, meaning entities can use the same equipment in either tier, and can rely on GAA spectrum to meet their business needs, thereby allowing for immediate (or where GAAs already operate, continued) use of the spectrum for the benefit of the public.
- 4. The purpose of the default payment is to discourage a winning bidder from adopting a strategy of waiting until the actual license grant before deciding whether or not to accept the license, to the detriment of other bidders and the efficient and fair functioning of the auction process. A high bidder that does not fulfill its winning bid will delay the licensing of spectrum to others to use in the public interest. We find that applying the default payment rule to Cable One in these unique circumstances would not serve that purpose. In the instant case, not only can the spectrum be put to immediate use, but Cable One's default is based neither on a failure to pay timely its full bid amount, nor a failure to apply timely for the subject licenses. Rather, the default here is the product of the agreement reached between the Commission and Cable One in the Consent Decree for the purpose of enforcing the PAL aggregation limit—a resolution that includes a compliance plan, reporting obligations, savings to Commission resources that might be spent on additional enforcement efforts, and other benefits that we deem to be in the public interest. For this reason, and after reviewing the terms of the Consent Decree and evaluating the facts before us, we find that it would not serve the public interest to apply section 1.2104(g)(2) to establish a default payment for its defaults on its winning bids for the Implicated Licenses under the terms of the Consent Decree.
- 5. Accordingly, given the unique circumstances here, we grant a waiver of section 1.2104(g)(2) to the extent that application of the rule would otherwise establish a default payment owed for amendment of a long-form application with respect to winning bids for the Implicated Licenses. We note that because no default payment obligation will be established for these licenses, Cable One will not be a "former defaulter" for purposes of section 1.2106(a), which requires former defaulters to submit upfront payments equal to 50% more than the amount otherwise required for future auctions. That section would apply to an auction applicant that has been delinquent on a non-tax debt to a Federal agency; here, no debt has been established in this circumstance.
- 6. Reviewing the terms of the Consent Decree and evaluating the facts before us, we find that the public interest would be served by adopting the Consent Decree and terminating the referenced Investigation regarding Cable One's compliance with sections 1.2105(a)(2)(v), (vii), 96.31, and 20.22(b) of the Commission's Rules in connection with Auction 105.

<sup>6 47</sup> CFR § 1.2109(c).

<sup>&</sup>lt;sup>7</sup> 47 CFR § 1.2104(g)(2)(i)-(ii).

<sup>8 47</sup> CFR § 1.925(a).

<sup>9 47</sup> CFR § 1.925(b)(3)(ii).

- 7. In the absence of material new evidence relating to this matter, we conclude that our Investigation raises no substantial or material questions of fact as to whether Cable One possesses the basic qualifications, including those related to character, to hold or obtain any Commission license or authorization.
- 8. Accordingly, **IT IS ORDERED** that, pursuant to sections 4(i) and 309 of the Communications Act of 1934, as amended (Act), 47 U.S.C. §§ 154(i), 309, and sections 1.2104(g)(2), 1.2109(c), 20.22(b) and 96.31 of the Commission's Rules, 47 CFR §§ 1.2104(g)(2), 1.2109(c), 20.22(b), 96.31, and the authority delegated by sections 0.11, 0.21, 0.131, 0.231, 0.271, and 0.331 of the Commission's Rules, 47 CFR §§ 0.11, 0.21, 0.131, 0.231, 0.271, 0.331, the attached Consent Decree **IS ADOPTED** and its terms incorporated by reference.
- 9. **IT IS FURTHER ORDERED** that, pursuant to sections 4(i) and 309 of the Act, 47 U.S.C. §§ 154(i), 309, and sections 1.925, 1.2104(g)(2), and 1.2109(c) of the Commission's Rules, 47 CFR §§ 1.925, 1.2104(g)(2), 1.2109(c), relief is granted to Cable One to the extent described herein. In light of this Adopting Order and the Consent Decree, no default payment obligation will be established for Cable One and therefore Cable One will not be a "former defaulter" for purposes of section 1.2106(a).
- 10. **IT IS FURTHER ORDERED** that the above-captioned matter **IS TERMINATED** in accordance with the terms of the attached Consent Decree.
- 11. **IT IS FURTHER ORDERED** that a copy of this Order and Consent Decree shall be sent by first class mail and certified mail, return receipt requested, to Mr. Peter N. Witty, Cable One, Inc., 210 E Earll Drive, Phoenix, Arizona 85012.

FEDERAL COMMUNICATIONS COMMISSION

Giulia McHenry Chief Office of Economics and Analytics

Joel Taubenblatt Acting Chief Wireless Telecommunications Bureau

### Before the Federal Communications Commission Washington, D.C. 20554

In the Matter of	)	
Cable One, Inc.	)	File No.: 0009226187
	)	FRN: 0003474327
	)	
	)	
	)	
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#### CONSENT DECREE

1. The Wireless Telecommunications Bureau and the Office of Economics and Analytics, of the Federal Communications Commission, and Cable One, Inc. (Cable One or Company), by their authorized representatives, hereby enter into this Consent Decree for the purpose of terminating the Investigation into whether Cable One violated sections 1.2105(a)(2)(v), (vii), 96.31, and 20.22(b) of the Rules in connection with 3.5 GHz Priority Access Licenses (PALs) won in Auction 105.1

#### I. DEFINITIONS

- 2. For the purposes of this Consent Decree, the following definitions shall apply:
  - (a) "Act" means the Communications Act of 1934, as amended.
  - (b) "Adopting Order" means an Order of the Bureau and Office adopting the terms of this Consent Decree without change, addition, deletion, or modification.
  - (c) "Cable One" or "Company" means Cable One, Inc. and its affiliates, subsidiaries, predecessors-in-interest, and successors-in-interest.
  - (d) "Bureau" means the Wireless Telecommunications Bureau of the Federal Communications Commission.
  - (e) "Bureau and Office" means collectively the Wireless Telecommunications Bureau and the Office of Economics and Analytics of the Federal Communications Commission.
  - (f) "Commission" and "FCC" mean the Federal Communications Commission and all of its bureaus and offices.
  - (g) "Communications Laws" means, collectively, the Act, the Rules, and the published and promulgated orders and decisions of the Commission to which Cable One is subject by virtue of its business activities.
  - (h) "Compliance Plan" means the compliance obligations, program, and procedures described in this Consent Decree at paragraphs 15-18.

<sup>&</sup>lt;sup>1</sup> 47 CFR §§ 1.2105(a)(2)(v), (vii), 96.31, 20.22(b).

- (i) "Covered Employees" means all employees and agents of Cable One who perform, supervise, oversee, or manage the performance of, duties that relate to Cable One's responsibility to comply with section 96.31 of the Rules.
- (j) "Default Penalties" means the consequences that result pursuant to the Rules when a bidder defaults on a winning bid.
- (k) "Effective Date" means the date by which the Parties have signed the Consent Decree and the Bureau and the Office have issued the Adopting Order.
- (1) "Implicated Licenses" means licenses that, if granted, would cause Cable One to exceed the four-PAL aggregation limit in a given market. See attached Appendix B for a list of the Implicated Licenses.
- (m) "Investigation" means the Investigation commenced by the Bureau and Office during review of Cable One's long-form application, Universal Licensing System (ULS) File Number 0009226187, to determine whether it violated sections 1.2105(a)(2)(v), (vii), 96.31, and 20.22(b) of the Rules with respect to the identified licenses in the attached Appendices A and B.
- (n) "Office" means the Office of Economics and Analytics of the Federal Communications Commission.
- (o) "Operating Procedures" means the standard internal Operating Procedures and compliance policies established by Cable One to implement the Compliance Plan.
- (p) "Parties" means Cable One, the Bureau, and the Office, each of which is a "Party."
- (q) "Rules" means the Commission's regulations, found in Title 47 of the Code of Federal Regulations.

### II. BACKGROUND

- 3. Section 96.31(a) of the Rules limits the aggregation of PAL channels in any license area to only four at any given time.<sup>2</sup> Section 96.31(b) explains that the criteria in section 20.22(b) "will apply in order to attribute partial ownership and other interests for the purpose of applying the aggregation limit . . . ."<sup>3</sup> The attribution Rules in section 20.22(b), in relevant part, provide that controlling interests and non-controlling interests of 10% or more in spectrum shall be attributable.<sup>4</sup> Additionally, under section 1.2109(c), a "winning bidder who is found unqualified to be a licensee . . . or defaults or is disqualified for any reason after having made the required down payment, will be deemed to have defaulted, its application will be dismissed, and it will be liable for the payment set forth in section 1.2104(g)(2) or 1.2104(g)(3) of the Rules, whichever is applicable." Under the Rules, a former defaulter is subject to increased upfront payment requirements in future spectrum license auctions.<sup>6</sup>
- 4. On August 25, 2020, bidding concluded in Auction 105,<sup>7</sup> and in September 2020, the Bureau began its review of Auction 105 long-form applications. The Bureau accepted applications for

<sup>&</sup>lt;sup>2</sup> See 47 CFR § 96.31(a).

<sup>&</sup>lt;sup>3</sup> See 47 CFR § 96.31(b).

<sup>&</sup>lt;sup>4</sup> See 47 CFR § 20.22(b)(2)-(3).

<sup>&</sup>lt;sup>5</sup> See 47 CFR § 1.2109(c).

<sup>&</sup>lt;sup>6</sup> See 47 CFR § 1.2106(a), (d)-(e).

<sup>&</sup>lt;sup>7</sup> See Auction of Priority Access Licenses in the 3550-3650 MHz Band Closes, AU Docket No. 19-244, Public Notice, 35 FCC Rcd 9287 (OEA & WTB 2020).

filing on December 3, 2020,8 January 19, 2021,9 March 26, 2021,10 and June 23, 2021.11 The Bureau granted applications on March 12, 2021,12 April 30, 2021,13 July 12, 2021,14 and December 9, 2021.15

- 5. As part of the long-form application review process, staff compared each applicant with other applicants that won PALs in the same license area to determine whether the applicants had attributable interests pursuant to section 20.22(b) and, if so, whether the applicants would exceed the four-PAL aggregation limit upon license grant. BlackRock, Inc. (BlackRock), a private equity firm, holds a 10% or greater interest in five Auction 105 applicants. These five applicants were the winning bidders in overlapping license areas, and because common, non-controlling interests of 10% or more are attributable, the applied-for PALs of each of these five applicants would be attributable to each other. In total, the five applicants implicate a total of 462 PALs in 80 license areas in which the four-PAL aggregation limit would be violated if their applications were granted.
- 6. The Bureau and Office find good cause to reach this agreement. Granting the PALs designated for grant in Appendix A furthers the public interest by, to the extent possible, placing them in the hands of those entities that most highly value them while preserving the aggregation limit, or in the cases of the PALs listed in Appendix B being returned, making spectrum immediately available for General Authorized Access (GAA) use. Granting applicants relief from the application of the Rules that establish Default Penalties owed for PALs listed in Appendix B of this agreement will facilitate the use of the spectrum by enabling the grant of other PALs in the Implicated License areas or by enabling use of the spectrum for GAA. Also, allowing an applicant to amend its application to remove licenses with respect to winning bids for PALs listed in Appendix B will preclude immediate PAL licensing, but not preclude immediate utilization of the spectrum in light of the GAA Rules in the band.<sup>17</sup> Therefore, the Bureau and Office find it is in the public interest to grant the PALs listed in Appendix A and have the PALs listed in Appendix B removed by amendment of the application according to the terms established herein, and thus, the Parties enter into this Consent Decree.

<sup>&</sup>lt;sup>8</sup> Wireless Telecommunications Bureau Announces that Applications for Auction 105 Licenses Are Accepted for Filing, Public Notice, 35 FCC Rcd 14080 (WTB 2020).

<sup>&</sup>lt;sup>9</sup> Wireless Telecommunications Bureau Announces Additional Applications for Auction 105 Licenses Are Accepted for Filing, Public Notice, 36 FCC Red 798 (WTB 2021).

<sup>&</sup>lt;sup>10</sup> Wireless Telecommunications Bureau Announces Additional Applications for Auction 105 Licenses Are Accepted for Filing, Public Notice, 36 FCC Rcd 5744 (WTB 2021).

<sup>&</sup>lt;sup>11</sup> Wireless Telecommunications Bureau Announces an Additional Application for Auction 105 Licenses Are Accepted for Filing, Public Notice, 36 FCC Rcd 9963 (WTB 2021).

<sup>&</sup>lt;sup>12</sup> Wireless Telecommunications Bureau Grants Auction 105 Priority Access Licenses, Public Notice, 36 FCC Rcd 4926 (WTB 2021).

<sup>&</sup>lt;sup>13</sup> Wireless Telecommunications Bureau Grants Additional Auction 105 Priority Access Licenses, Public Notice, 36 FCC Red 7633 (WTB 2021).

<sup>&</sup>lt;sup>14</sup> Wireless Telecommunications Bureau Grants Additional Auction 105 Priority Access Licenses, Public Notice, DA 21-824 (WTB July 12, 2021).

<sup>&</sup>lt;sup>15</sup> Wireless Telecommunications Bureau Grants Additional Auction 105 Priority Access Licenses, Public Notice, DA 21-1529 (WTB Dec. 9, 2021).

<sup>&</sup>lt;sup>16</sup> According to the five applicants' most recent Ownership Reports (FCC Form 602) on file in ULS, the percentage of BlackRock's interest in each applicant is as follows (in alphabetical order): Cable One (12.20%, *see* FCC File No. 0010091779); NorthWestern (14.70%, *see* FCC File No. 0009225384); SAL (11.65%, *see* FCC File No. 0010037270); Shenandoah (13.75%, *see* FCC File No. 0009224371); and US Cellular (16.70%, *see* FCC File No. 0010041382).

<sup>&</sup>lt;sup>17</sup> See 47 CFR § 96.35(a).

7. The Parties negotiated the following terms and conditions of settlement and hereby enter into this Consent Decree as provided below.

#### III. TERMS OF AGREEMENT

- 8. <u>Adopting Order</u>. The provisions of this Consent Decree shall be incorporated by the Bureau and the Office in an Adopting Order.
- 9. <u>Jurisdiction</u>. Cable One agrees that the Bureau and the Office have jurisdiction over Cable One and the matters contained in this Consent Decree and have the authority to enter into and adopt this Consent Decree.
- 10. <u>Effective Date</u>. The Parties agree that this Consent Decree shall become effective on the Effective Date as defined herein. As of the Effective Date, the Parties agree that this Consent Decree shall have the same force and effect as any other order of the Commission.
- 11. **Termination of Investigation.** In express reliance on the covenants and representations in this Consent Decree and to avoid further expenditure of public resources, the Bureau and Office agree to terminate their Investigation into matters described in paragraphs 1-7. In consideration for the termination of the Investigation, Cable One agrees to the terms, conditions, and procedures contained herein. In the absence of new material evidence, the Bureau and the Office agree that the facts developed in the Investigation through the Effective Date, or the existence of this Consent Decree, will not be used to institute any new proceeding on its own motion against Cable One concerning the matters that were the subject of the Investigation, or to set for hearing the question of Cable One's basic qualifications to be a Commission licensee or hold Commission licensee or authorizations based on the matters that were the subject of the Investigation.
- 12. <u>Admissions</u>. Cable One acknowledges that grant of the Implicated Licenses in its Auction 105 long-form application, without taking the actions set forth in this Consent Decree, would have otherwise created a violation of section 96.31, rendering the application defective under section 1.2109(c) with respect to the Implicated Licenses. Cable One further acknowledges that, absent it taking the actions set forth in this Consent Decree, it would have defaulted on such licenses and would have been subject to the Default Penalties.

### 13. Settlement Negotiation.

#### (a) Action on long-forms.

- Cable One agrees to amend its application to remove the Implicated Licenses identified in Appendix B to reduce by one the number of PALs won in each implicated market where the applicant won more than one PAL;
- 2. The Bureau and Office agree to grant the licenses for markets that, after the Investigation, have not been identified as violating section 96.31(a) once granted. These licenses are identified in Appendix A.<sup>18</sup>

<sup>&</sup>lt;sup>18</sup> The application also includes other licenses not covered by the Investigation and hence not covered by this Consent Decree. With the exception of licenses for which Cable One was the Auction 105 winning bidder in Kansas markets D20049 (Elk, KS), D20073 (Greenwood, KS), and D20121(Miami, KS), the Commission plans to grant those other licenses on the same day as the licenses identified in Appendix A.

- (b) Withdrawal of pending actions. Cable One agrees to withdraw any pending Auction 105-related requests for relief, including but not limited to waiver requests.
- (c) <u>Default Penalties</u>. The Bureau and Office agree to relieve Cable One from the application of the Rules that would otherwise impose the Default Penalties on Cable One associated with amendment of the application to remove the Implicated Licenses identified in Appendix B.<sup>19</sup>
- (d) **Refund.** The Bureau and Office agree to refund the monies on deposit with the Commission associated with the Implicated Licenses in Appendix B.
- (e) <u>Certification</u>. Cable One certifies that it has not had any communications with any other Auction 105 applicants that have Auction 105 long-form applications pending or BlackRock since January 25, 2022, regarding any aspect of the potential four-PAL aggregation limit violation, any settlement discussions with the Commission, and/or allocation of markets or licenses. Cable One also certifies that, to the best of its knowledge and understanding, BlackRock has not communicated with any Auction 105 applicant that has an Auction 105 long-form application pending regarding these topics since January 25, 2022.
- One shall designate a senior corporate manager with the requisite corporate and organizational authority to serve as a Compliance Officer and to discharge the duties set forth below. The person designated as the Compliance Officer shall be responsible for developing, implementing, and administering the Compliance Plan and ensuring that Cable One complies with the terms and conditions of the Compliance Plan and this Consent Decree. In addition to the general knowledge of the Communications Laws necessary to discharge his or her duties under this Consent Decree, the Compliance Officer shall have specific knowledge of section 96.31 and the procedures necessary to implement the Compliance Plan detailed herein before assuming their duties.
- 15. <u>Compliance Plan.</u> For purposes of settling the matters set forth herein, Cable One agrees that it shall, within ninety (90) calendar days after the Effective Date, develop and implement a Compliance Plan designed to ensure future compliance with section 96.31 and with the terms and conditions of this Consent Decree. Cable One will implement, at a minimum, the following procedures:
  - (a) Operating Procedures. Within ninety (90) calendar days after the Effective Date, Cable One shall establish Operating Procedures that all Covered Employees must follow to ensure Cable One's compliance with section 96.31 and this Consent Decree, and the Compliance Officer shall certify that such Operating Procedures have been established. Cable One's Operating Procedures shall include internal procedures and policies specifically designed to ensure that Cable One complies with section 96.31 and this Consent Decree.
  - (b) <u>Compliance Manual</u>. Within ninety (90) calendar days after the Effective Date, the Compliance Officer shall develop and distribute a Compliance Manual to all Covered Employees and certify that such distribution has been completed.
    - The Compliance Manual shall explain the requirements of section 96.31 and this Consent Decree, and set forth the Operating Procedures that Covered Employees shall follow to ensure Cable One's compliance with section 96.31 and this Consent Decree. Cable One shall distribute any revisions to the Compliance Manual to all Covered Employees within thirty (30) calendar days of making such revisions.

<sup>&</sup>lt;sup>19</sup> See 47 CFR §§ 1.2104(g)(2); 1.2106(d)-(e). In light of this Consent Decree, no default payment will be assessed against Cable One and therefore Cable One will not be a "former defaulter" for purposes of 47 CFR § 1.2106(a).

- (c) Compliance Training Program. Cable One shall establish and implement a Compliance Training Program on compliance with section 96.31 and the Operating Procedures. As part of the Compliance Training Program, Covered Employees shall be advised of Cable One's reporting obligations under paragraphs 16-18 of this Consent Decree and shall be instructed on how to disclose noncompliance with section 96.31 and/or the Operating Procedures to the Compliance Officer or his designees. All Covered Employees shall be trained pursuant to the Compliance Training program within six (6) months after the Effective Date, and, any person who becomes a Covered Employee at any time after the initial Compliance Training Program shall be trained within thirty (30) calendar days after the date such person becomes a Covered Employee. Cable One shall repeat compliance training on an annual basis, and shall periodically review and revise the Compliance Training Program as necessary to ensure that it remains current and complete and to enhance its effectiveness. Six (6) months after the Effective Date, the Compliance Officer shall certify that such a training program has been established.
- (d) <u>Investor Notification</u>. Cable One shall send a copy of this Order and Consent Decree to each of Cable One's existing investors, in addition to those entities and individuals that become investors after the Effective Date, that have attributable interests in Cable One, as defined by the Rules.
- 16. **Reporting Noncompliance.** Cable One shall report any violations of section 96.31 and noncompliance with the terms and conditions of this Consent Decree within fifteen (15) calendar days after discovery of such noncompliance. Such reports shall include a detailed explanation of: (i) each known instance of noncompliance; (ii) the steps that Cable One has taken or will take to remedy such noncompliance; (iii) the schedule on which such remedial actions will be taken; and (iv) steps that Cable One has taken or will take to prevent the recurrence of any such noncompliance.
- 17. <u>Compliance Reports.</u> Cable One shall file compliance reports with the Commission twelve (12) months after the Effective Date, twenty-four (24) months after the Effective Date, and thirty-six (36) months after the Effective Date.
  - (a) Each Compliance Report shall include a detailed description of Cable One's efforts during the relevant period to comply with the terms and conditions of this Consent Decree. In addition, each Compliance Report shall include a certification by the Compliance Officer, as an agent of and on behalf of Cable One, stating that the Compliance Officer has personal knowledge that Cable One: (i) has established and implemented the Compliance Plan; (ii) has utilized the Operating Procedures since the implementation of the Compliance Plan; and (iii) is not aware of any instances of noncompliance with the terms and conditions of this Consent Decree, including the reporting obligations set forth in paragraphs 16-18 of this Consent Decree.
  - (b) The Compliance Officer's certification shall be accompanied by a statement explaining the basis for such certification and shall comply with section 1.16 of the Rules and be subscribed to as true under penalty of perjury in substantially the form set forth therein.<sup>20</sup>
  - (c) If the Compliance Officer cannot provide the requisite certification, the Compliance Officer, as an agent of and on behalf of Cable One, shall provide the Commission with a detailed explanation of the reason(s) why and describe fully: (i) each instance of noncompliance; (ii) the steps that Cable One has taken or will take to remedy such noncompliance, including the schedule on which proposed remedial actions will be taken; and (iii) the steps that Cable One has taken or will take to prevent the

<sup>&</sup>lt;sup>20</sup> See 47 CFR § 1.16.

- recurrence of any such noncompliance, including the schedule on which such preventive action will be taken.
- (d) All Compliance Reports shall be submitted by July 17, 2023, July 16, 2024, and July 16, 2025.
- 18. **Filings.** All certifications pursuant to paragraph 15, reports of noncompliance pursuant to paragraph 16, and all Compliance Reports pursuant to paragraph 17 shall be filed with the Bureau by choosing a lead, active license call sign and uploading the Report as a "Pleading" to that license via the Bureau's Universal Licensing System at <a href="https://wireless2.fcc.gov/UlsEntry/pleadings/pleadingsType.jsp">https://wireless2.fcc.gov/UlsEntry/pleadings/pleadingsType.jsp</a>. At that link, Cable One must select Pleading Type = "Status Report" and may select Attachment Type = "Confidential Pleading" if making a request under section 0.459 of the Rules that materials be withheld from public inspection.
- 19. <u>Termination Date</u>. The requirements set forth in paragraphs 14 through 18 of this Consent Decree shall expire thirty-six (36) months after the Effective Date.
- 20. Waivers. As of the Effective Date, Cable One waives any and all rights it may have to seek administrative or judicial reconsideration, review, appeal, or stay, or to otherwise challenge or contest the validity of this Consent Decree, Adopting Order, or any action the Bureau and/or the Office take on Cable One's Auction 105 long-form application pursuant to this Consent Decree. Cable One shall retain the right to challenge Commission interpretation of the Consent Decree or any terms contained herein. If either Party (or the United States on behalf of the Commission) brings a judicial action to enforce the terms of the Consent Decree or the Adopting Order, neither Cable One nor the Commission shall contest the validity of the Consent Decree or the Adopting Order, and Cable One shall waive any statutory right to a trial *de novo*. Cable One hereby agrees to waive any claims it may otherwise have under the Equal Access to Justice Act<sup>21</sup> relating to the matters addressed in this Consent Decree.
- 21. <u>Severability</u>. The Parties agree that if any of the provisions of the Consent Decree shall be held unenforceable by any court of competent jurisdiction, such unenforceability shall not render unenforceable the entire Consent Decree, but rather the entire Consent Decree shall be construed as if not containing the particular unenforceable provision or provisions, and the rights and obligations of the Parties shall be construed and enforced accordingly.
- 22. <u>Invalidity</u>. In the event that this Consent Decree in its entirety is rendered invalid by any court of competent jurisdiction, it shall become null and void and may not be used in any manner in any legal proceeding.
- 23. <u>Subsequent Rule or Order</u>. The Parties agree that if any provision of the Consent Decree conflicts with any subsequent Rule or Order adopted by the Commission (except an Order specifically intended to revise the terms of this Consent Decree to which Cable One does not expressly consent) that provision will be superseded by such Rule or Order.
- 24. <u>Successors and Assigns</u>. Cable One agrees that the provisions of this Consent Decree shall be binding on its successors, assigns, and transferees.
- 25. <u>Final Settlement</u>. The Parties agree and acknowledge that this Consent Decree shall constitute a final settlement between the Parties with respect to the Investigation.
- 26. <u>Modifications</u>. This Consent Decree cannot be modified without the advance written consent of both Parties.
- 27. **Paragraph Headings.** The headings of the paragraphs in this Consent Decree are inserted for convenience only and are not intended to affect the meaning or interpretation of this Consent Decree.

<sup>&</sup>lt;sup>21</sup> See 5 U.S.C. § 504; 47 CFR §§ 1.1501–1.1530.

28. <u>Authorized Representative</u>. Each Party represents and warrants to the other that it has full power and authority to enter into this Consent Decree. Each person signing this Consent Decree on behalf of a Party hereby represents that he or she is fully authorized by the Party to execute this Consent Decree and to bind the Party to its terms and conditions.

Peter N. Witty Senior Vice President, General Counsel & Secretary Cable One, Inc.

Date:

# Appendix A

## PRIORITY ACCESS LICENSES TO BE GRANTED BY MARKET AUCTION ID: 105

CABLE ONE, INC. – FCC FILE NO. 0009226187 DATE OF REPORT: February 23, 2022

Legal Entity Name	Market Number	Market Description
Cable One, Inc.	D05021	CLAY, AR
Cable One, Inc.	D05055	GREENE, AR
Cable One, Inc.	D05063	INDEPENDENCE, AR
Cable One, Inc.	D05067	JACKSON, AR
Cable One, Inc.	D05075	LAWRENCE, AR
Cable One, Inc.	D17003	ALEXANDER, IL
Cable One, Inc.	D17003	ALEXANDER, IL
Cable One, Inc.	D17003	ALEXANDER, IL
Cable One, Inc.	D17013	CALHOUN, IL
Cable One, Inc.	D17013	CALHOUN, IL
Cable One, Inc.	D17013	CALHOUN, IL
Cable One, Inc.	D17025	CLAY, IL
Cable One, Inc.	D17025	CLAY, IL
Cable One, Inc.	D17025	CLAY, IL
Cable One, Inc.	D17047	EDWARDS, IL
Cable One, Inc.	D17047	EDWARDS, IL
Cable One, Inc.	D17047	EDWARDS, IL
Cable One, Inc.	D17065	HAMILTON, IL
Cable One, Inc.	D17065	HAMILTON, IL
Cable One, Inc.	D17065	HAMILTON, IL
Cable One, Inc.	D17069	HARDIN, IL
Cable One, Inc.	D17069	HARDIN, IL
Cable One, Inc.	D17069	HARDIN, IL
Cable One, Inc.	D17083	JERSEY, IL
Cable One, Inc.	D17083	JERSEY, IL
Cable One, Inc.	D17087	JOHNSON, IL
Cable One, Inc.	D17087	JOHNSON, IL
Cable One, Inc.	D17087	JOHNSON, IL
Cable One, Inc.	D17117	MACOUPIN, IL
Cable One, Inc.	D17117	MACOUPIN, IL
Cable One, Inc.	D17127	MASSAC, IL
Cable One, Inc.	D17127	MASSAC, IL
Cable One, Inc.	D17127	MASSAC, IL
Cable One, Inc.	D17151	POPE, IL

Cable One, Inc.	D17151	POPE, IL
Cable One, Inc.	D17151	POPE, IL
Cable One, Inc.	D17153	PULASKI, IL
Cable One, Inc.	D17153	PULASKI, IL
Cable One, Inc.	D17153	PULASKI, IL
Cable One, Inc.	D17159	RICHLAND, IL
Cable One, Inc.	D17159	RICHLAND, IL
Cable One, Inc.	D17159	RICHLAND, IL
Cable One, Inc.	D17181	UNION, IL
Cable One, Inc.	D17181	UNION, IL
Cable One, Inc.	D17181	UNION, IL
Cable One, Inc.	D17185	WABASH, IL
Cable One, Inc.	D17185	WABASH, IL
Cable One, Inc.	D17185	WABASH, IL
Cable One, Inc.	D17189	WASHINGTON, IL
Cable One, Inc.	D17189	WASHINGTON, IL
Cable One, Inc.	D17189	WASHINGTON, IL
Cable One, Inc.	D17191	WAYNE, IL
Cable One, Inc.	D17191	WAYNE, IL
Cable One, Inc.	D17191	WAYNE, IL
Cable One, Inc.	D17193	WHITE, IL
Cable One, Inc.	D17193	WHITE, IL
Cable One, Inc.	D17193	WHITE, IL
Cable One, Inc.	D29007	AUDRAIN, MO
Cable One, Inc.	D29007	AUDRAIN, MO
Cable One, Inc.	D29007	AUDRAIN, MO
Cable One, Inc.	D29017	BOLLINGER, MO
Cable One, Inc.	D29017	BOLLINGER, MO
Cable One, Inc.	D29017	BOLLINGER, MO
Cable One, Inc.	D29029	CAMDEN, MO
Cable One, Inc.	D29029	CAMDEN, MO
Cable One, Inc.	D29029	CAMDEN, MO
Cable One, Inc.	D29035	CARTER, MO
Cable One, Inc.	D29035	CARTER, MO
Cable One, Inc.	D29053	COOPER, MO
Cable One, Inc.	D29053	COOPER, MO
Cable One, Inc.	D29053	COOPER, MO
Cable One, Inc.	D29065	DENT, MO
Cable One, Inc.	D29065	DENT, MO
Cable One, Inc.	D29065	DENT, MO
Cable One, Inc.	D29069	DUNKLIN, MO
Cable One, Inc.	D29069	DUNKLIN, MO
Cable One, Inc.	D29069	DUNKLIN, MO
Cable One, Inc.	D29073	GASCONADE, MO

Cable One, Inc.	D29085	HICKORY, MO
Cable One, Inc.	D29085	HICKORY, MO
Cable One, Inc.	D29085	HICKORY, MO
Cable One, Inc.	D29093	IRON, MO
Cable One, Inc.	D29093	IRON, MO
Cable One, Inc.	D29093	IRON, MO
Cable One, Inc.	D29119	MCDONALD, MO
Cable One, Inc.	D29125	MARIES, MO
Cable One, Inc.	D29125	MARIES, MO
Cable One, Inc.	D29125	MARIES, MO
Cable One, Inc.	D29131	MILLER, MO
Cable One, Inc.	D29131	MILLER, MO
Cable One, Inc.	D29131	MILLER, MO
Cable One, Inc.	D29135	MONITEAU, MO
Cable One, Inc.	D29135	MONITEAU, MO
Cable One, Inc.	D29135	MONITEAU, MO
Cable One, Inc.	D29139	MONTGOMERY, MO
Cable One, Inc.	D29141	MORGAN, MO
Cable One, Inc.	D29141	MORGAN, MO
Cable One, Inc.	D29141	MORGAN, MO
Cable One, Inc.	D29151	OSAGE, MO
Cable One, Inc.	D29151	OSAGE, MO
Cable One, Inc.	D29151	OSAGE, MO
Cable One, Inc.	D29153	OZARK, MO
Cable One, Inc.	D29153	OZARK, MO
Cable One, Inc.	D29153	OZARK, MO
Cable One, Inc.	D29155	PEMISCOT, MO
Cable One, Inc.	D29155	PEMISCOT, MO
Cable One, Inc.	D29155	PEMISCOT, MO
Cable One, Inc.	D29161	PHELPS, MO
Cable One, Inc.	D29161	PHELPS, MO
Cable One, Inc.	D29161	PHELPS, MO
Cable One, Inc.	D29163	PIKE, MO
Cable One, Inc.	D29163	PIKE, MO
Cable One, Inc.	D29169	PULASKI, MO
Cable One, Inc.	D29169	PULASKI, MO
Cable One, Inc.	D29179	REYNOLDS, MO
Cable One, Inc.	D29179	REYNOLDS, MO
Cable One, Inc.	D29181	RIPLEY, MO
Cable One, Inc.	D29185	ST. CLAIR, MO
Cable One, Inc.	D29185	ST. CLAIR, MO
Cable One, Inc.	D29185	ST. CLAIR, MO
Cable One, Inc.	D29186	STE. GENEVIEVE, MO
Cable One, Inc.	D29186	STE. GENEVIEVE, MO

Cable One, Inc.	D29186	STE. GENEVIEVE, MO
Cable One, Inc.	D29221	WASHINGTON, MO
Cable One, Inc.	D29221	WASHINGTON, MO
Cable One, Inc.	D29221	WASHINGTON, MO
Cable One, Inc.	D29223	WAYNE, MO
Cable One, Inc.	D29223	WAYNE, MO
Cable One, Inc.	D29223	WAYNE, MO

# Appendix B

### PRIORITY ACCESS LICENSES TO BE REMOVED BY MARKET AUCTION ID: 105

CABLE ONE, INC. – FCC FILE NO. 0009226187 DATE OF REPORT: February 23, 2022

Legal Entity Name	Market Number	Market Description
Cable One, Inc.	D05021	CLAY, AR
Cable One, Inc.	D05055	GREENE, AR
Cable One, Inc.	D05063	INDEPENDENCE, AR
Cable One, Inc.	D05067	JACKSON, AR
Cable One, Inc.	D05075	LAWRENCE, AR
Cable One, Inc.	D17003	ALEXANDER, IL
Cable One, Inc.	D17013	CALHOUN, IL
Cable One, Inc.	D17025	CLAY, IL
Cable One, Inc.	D17047	EDWARDS, IL
Cable One, Inc.	D17065	HAMILTON, IL
Cable One, Inc.	D17069	HARDIN, IL
Cable One, Inc.	D17083	JERSEY, IL
Cable One, Inc.	D17087	JOHNSON, IL
Cable One, Inc.	D17117	MACOUPIN, IL
Cable One, Inc.	D17127	MASSAC, IL
Cable One, Inc.	D17151	POPE, IL
Cable One, Inc.	D17153	PULASKI, IL
Cable One, Inc.	D17159	RICHLAND, IL
Cable One, Inc.	D17181	UNION, IL
Cable One, Inc.	D17185	WABASH, IL
Cable One, Inc.	D17189	WASHINGTON, IL
Cable One, Inc.	D17191	WAYNE, IL
Cable One, Inc.	D17193	WHITE, IL
Cable One, Inc.	D29007	AUDRAIN, MO
Cable One, Inc.	D29017	BOLLINGER, MO
Cable One, Inc.	D29029	CAMDEN, MO
Cable One, Inc.	D29035	CARTER, MO
Cable One, Inc.	D29053	COOPER, MO
Cable One, Inc.	D29065	DENT, MO
Cable One, Inc.	D29069	DUNKLIN, MO
Cable One, Inc.	D29073	GASCONADE, MO
Cable One, Inc.	D29085	HICKORY, MO

Cable One, Inc.	D29093	IRON, MO
Cable One, Inc.	D29119	MCDONALD, MO
Cable One, Inc.	D29125	MARIES, MO
Cable One, Inc.	D29131	MILLER, MO
Cable One, Inc.	D29135	MONITEAU, MO
Cable One, Inc.	D29139	MONTGOMERY, MO
Cable One, Inc.	D29141	MORGAN, MO
Cable One, Inc.	D29151	OSAGE, MO
Cable One, Inc.	D29153	OZARK, MO
Cable One, Inc.	D29155	PEMISCOT, MO
Cable One, Inc.	D29161	PHELPS, MO
Cable One, Inc.	D29163	PIKE, MO
Cable One, Inc.	D29169	PULASKI, MO
Cable One, Inc.	D29179	REYNOLDS, MO
Cable One, Inc.	D29181	RIPLEY, MO
Cable One, Inc.	D29185	ST. CLAIR, MO
Cable One, Inc.	D29186	STE. GENEVIEVE, MO
Cable One, Inc.	D29221	WASHINGTON, MO
Cable One, Inc.	D29223	WAYNE, MO