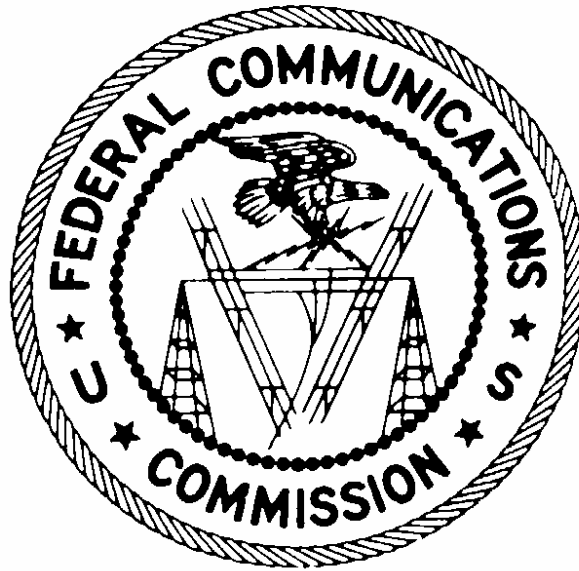


FEDERAL COMMUNICATIONS COMMISSION

OFFICE OF ENGINEERING AND TECHNOLOGY

FEE FILING GUIDE



FOR

- **Equipment Approval Services**
- **Experimental Radio Services**

This is an unofficial compilation of the radio services and requests for FCC actions that are subject to fees. The public should consult the Commission's Rules as set out in Title 47 of the Code of Federal Regulations (CFR) for application filing requirements. Further information on fees is contained in Part 1, Subpart G of the CFR or in the Commission's official decision implementing the Congressional Schedule of Charge.

Effective August 10, 2004

INTRODUCTION

The authority of the Federal Communications Commission (FCC) to impose and collect fees and associated charges is contained in Title III, Section 3001 of the Omnibus Budget Reconciliation Act of 1989 (Public Law 101-39), Section 8, revised 47 U.S.C. 158, which directs the Commission to prescribe charges for certain types of services it provides to communication entities over which it has jurisdiction.

This Fee Filing Guide is considered a reference guide to identify and describe the fee filing requirements for the Office of Engineering and Technology. It is meant to be a handy reference of the services and requests for FCC actions that are subject to a fee. The guide contains Parts A, B and C, as well as a breakdown of the types of applications, form numbers, fee amounts, payment type codes, and the mailing address for each service provided. It also contains a copy of *FCC Remittance Advice, Form 159*, and instructions on its use.

Part A provides instructions on how to pay a fee and identifies other processing services available to the requester. ***Always read Part A*** before attempting to complete any of the forms required with your submission. Parts B and C provide specific information pertaining to the Office of Engineering and Technology's Equipment Authorization Branch and Experimental Licensing Branch.

If further information is required that cannot be answered in this guide, please consult the Commission's Rules as set forth in Title 47, Part 1, Subpart G, Section 1.1101 of the Code of Federal Regulations (CFR). Additional copies of this guide may be obtained without charge by calling (202) 418-3676 or 1-800-418-3676 or at <http://www.fcc.gov/fees/appfees.html>. All fees are subject to modification as required by Congress.

PART A

IMPORTANT NOTICE FOR APPLICANTS/LICENSEES WHO SUBMIT FEEABLE FILINGS

Effective December 3, 2001, the use of the FCC Registration Number (FRN) is mandatory. Failure to register or include an FRN on your FCC Form 159 will result in your application being returned as unprocessable. If you do not yet have an FRN, you can obtain one through the FCC website listed below, or by filling out the registration form (Form 160) and submitting the registration form along with your payment and FCC Form 159. Unless the payer and the applicant are the same person or entity, a separate FRN number must be used for the payer FRN and the applicant FRN. If you are acting as an agent for an entity, and the remittance (i.e., check or credit card) carries your name as an official designated to pay on behalf of the entity, you must include the entity's name both as payer and applicant in order to use the same FRN. Failure to follow these instructions will result in your application being returned as unprocessable. **Please note that transactions with the FCC require the use of the FCC FRN. Therefore, please have the FRN available when contacting or submitting documents to the FCC.**

Ways to obtain a FCC Form 159:

- Go to <http://www.fcc.gov/formpage.html>.
- Call the FCC's Form Distribution Center at 1-800-418-FORM [3676].
- Pick up the form at the Commission in Room TW-B200
- Call CORES Helpdesk at 1-877-480-3201.

If you were registered in the Wireless Telecommunications Bureau's Universal Licensing System (ULS) and your registration included all the information we needed to issue a Registration Number, you have already been pre-registered. You may want to check CORES to determine if you are still registered, or if you have forgotten your registration number.

Ways to obtain an FCC Registration Number (FRN):

- Go to www.fcc.gov, click on E-Filing at the top of the page, and scroll down to the CORES Registration link.
- Check your pre-assigned number at www.fcc.gov, click on E-Filing at the top of the page, and scroll down to the CORES Registration link.
- File FCC Form 160, (CORES Registration). You may obtain the form at <http://www.fcc.gov/formpage.html> or by calling the FCC's CORES Helpdesk at 1-877-480-3201. You may also pick up the form in Commission Room TW-B200. Mailing instructions are on the form.

If you are unable to register electronically, you can still submit your application for a Registration Number (FCC Form 160) directly to Mellon Bank (along with your filing documents and payment) or fax the FRN registration form to the CORES Helpdesk at (202) 418-7869 for some filing procedures. Mellon will register you and you will receive a confirmation letter from the FCC through the U.S. Postal Service mail.

DEBT COLLECTION IMPROVEMENT ACT

In accordance with the Debt Collection Improvement Act (DCIA), the Commission will begin withholding action on applications and other requests for benefits upon discovery that the entity applying for or seeking benefits is delinquent in its non-tax debts owed to the Commission, and dismiss such applications or requests if the delinquent debt is not resolved. Our application fee rules have been amended to state that we will withhold action on applications or other requests if payment of the delinquent debt is not made or satisfactory arrangements for payment are not made. Those rule amendments are effective October 1, 2004.

REMITTANCE ADVICE - FCC FORM 159

The Remittance Advice, FCC Form 159, must accompany payment to the Federal Communications Commission for Regulatory Fees, Application Processing Fees, Fines, Forfeitures, Freedom of Information Act (FOIA) billings or any other debt due to the FCC. The information on this form is collected and stored in a database to ensure credit of full payment of monies due, to expedite any refunds due, and to service public inquiries. Please refer to Part B/C of this guide for specific form requirements. Reproduced forms are acceptable. FCC Form 159C is a remittance advice continuation sheet that must be used when paying for more than two call signs in a single filing or when paying for multiple applicants in a single filing. Each call sign and/or applicant must be listed separately on the FCC Form 159/FCC Form 159C.

METHOD OF PAYMENT (DO NOT SEND CASH)

Payment of fees may be made by check, bank draft, money order, credit card or wire transfer. If paying by check, bank draft, money order or wire transfer, your remittance must be denominated in U.S. dollars, drawn on a U.S. financial institution and made payable to "FCC." No postdated, altered or third party checks will be accepted. No checks will be accepted for processing if older than six months. The Commission will accept Visa, MasterCard, American Express, and Discover credit cards. **Online credit card payments are also acceptable. Online credit card payment is an available option when filing electronic application submissions. If you choose this method of combined filing/payment, do not send an FCC Form 159 to Mellon.**

If paying by wire, applicants located in foreign countries should contact their local bank to determine what U.S. financial institution their bank is affiliated with that will allow a transfer of funds. Please keep in mind that there may be an additional transfer fee added from your bank for this service. If an additional cost is required by your bank, and you have not included additional funds to cover this cost, it will be taken out of the funds that will be transferred. This will result in your remaining transfer amount being insufficient to cover the fee for the filing. Please check with your bank prior to completing this transaction to determine their policy. For further instructions in making payment by wire see our website at <http://www.fcc.gov/fees/wiretran.html>.

Payment of fees, fines, and other debts may also be made by electronic payment. There are two types of electronic payments available. The first type is designed specifically for larger corporations capable of CPU/CPU communication. Under this method, the payer bank wires funds directly to the Commission's lockbox bank. As with cash payments, the funds must be wired from a U.S. financial institution. The second type is designed for smaller businesses and

individuals. Under this method, the lockbox bank is given authority to withdraw funds from the customer's bank account. Authorization can be transmitted by a personal computer or by calling a toll-free number. To obtain more information about electronic payment and how it works, please contact the Revenue and Receivables Operations Group at (202) 418-1995. For further information on making payment by electronic transfer see our website at <http://www.fcc.gov/fees/electran.html>.

NONFEEABLE APPLICATIONS

All nonfeeable applications filed in Washington, DC must be filed directly with the Secretary's Office, Room TWB204, 445 12th Street, SW, Washington, DC 20554 and should be captioned Attention: Enforcement Bureau.

FEE EXEMPT APPLICATIONS

Please check the appropriate block on your application, **and if you have not** previously done so, provide the proper documentation, as required, to certify that your application is fee exempt. 47 CFR, Part 1, Section 1.1114 of the Commission's rules explains who qualifies as fee exempt.

MANAGING DIRECTOR DECISIONS

Requests for waivers, fee determinations, reconsiderations, applications for review, deferments, and specific refund requests are referred directly to the Office of the Managing Director. Each request is forwarded to the Office of General Counsel for review and legal determination. 47 CFR, Part 1, Sections 1.1113 & 1.1117 govern the Commission's policies in these instances. The requester will receive written notification of the Managing Director's decision. These decisions are published monthly and are placed in FCC Docket 86-285. All such requests must be submitted in writing to:

Managing Director
Federal Communications Commission
445 12th Street, SW, Room 1A625
Washington, DC 20554

WAIVERS, FEE DETERMINATIONS, AND DEFERRALS PROCESS

The required filing fee must be paid for the service requested using the normal process. All requests for waivers, and fee determinations are filed directly with the Managing Director in Washington, DC. If the Commission grants the waiver request or the fee determination results in a lower fee, a refund will be issued. Deferrals of fees are also filed in Washington, DC, and have a limit of up to six months with good cause. Deferrals of fees are considered when the inability to pay the required fee is due to a financial hardship (i.e., bankruptcy), and must be accompanied by supporting documentation.

REFUND PROCESS

The appropriate Bureau/Office handles routine refund requests. When a Bureau/Office determines that a refund is warranted, it is forwarded to the Office of the Managing Director, Revenue and Receivables Operations Group for processing. The Revenue and Receivables Operations Group (RROG) handles all approved refund requests. Once the refund request is

approved, the refund process usually takes 7-10 business days. Once a request has been reviewed and processed by RROG, it is forwarded to the U.S. Treasury in San Francisco, California where a check is issued to the payer of the remittance. For further information on return or refund of charges, refer to 47 CFR, Part 1, Section 1.1113 of the Commission's Rules.

MAILING INSTRUCTIONS

Parties hand-delivering applications or filings may receive dated receipt copies of the application or filing from the acceptance clerk at the time of delivery. Receipts will be provided for mail-in applications or filings if an extra copy of the application or filing is provided along with a self-addressed, stamped envelope. Only one piece of paper per application will be stamped for receipt purposes. A "stamp and receipt" copy must be placed on top of the original package and clearly identified as a return copy.

When delivering feeable applications by hand or by courier, use the following address: Federal Communications Commission, c/o Mellon Client Service Center, 500 Ross Street, Room 670, Pittsburgh, PA 15262-0001 (Attention: FCC Module Supervisor). **This address is for hand or courier delivery only. DO NOT use it for mailing applications. When using the address, enclose the application package in an inner envelope marked with the correct Post Office Box number. See the relevant Bureau/Office Fee Filing Guide for the correct Post Office Box number.**

QUESTIONS

If you have questions regarding your application and/or fee, you may call toll free the FCC's Consumer Center at 1-888-CALLFCC (1-888-225-5322). For information on CORES call the CORES Administrator at 1-877-480-3201.

PART B

EQUIPMENT APPROVAL SERVICES

CONTENTS	PAGE
Mandatory/Optional Electronic/Paper Filings	B2
Fee Payment Information for Applicant/Grantee Code Assignment	B3
Fee Payment Information for FCC Form 731 Only	B4
Fee Payment Information for Both Grantee Code and Form 731	B5
Fee Payment Methods	B6
How to Complete FCC Remittance Advice, Form 159	B8
Fee Payment Mailing Addresses	B9
Questions / Contacts	B10
Payment Type Codes and Related Fee Amounts	B11
Endnotes	B12

MANDATORY ELECTRONIC APPLICATION FILINGS

Application for Equipment Authorization, FCC Form 731, must be submitted electronically. Fees may be paid by check, bank draft, money order, wire transfer, electronic payment or credit card. An online credit card payment is also available, provided a system supporting at least 128-bit encryption is used. See Page B4.

NON-ELECTRONIC FILINGS

No application form has been designated for requesting Advance Approval of Subscription TV Systems and associated Confidentiality. A letter, accompanied by an *FCC Remittance Advice, Form 159* and all data described in Subpart M of Part 2 of the FCC Rules is acceptable. To retrieve a blank *Form 159*, visit: <http://www.fcc.gov/formpage.html>. Fees may be paid by check, bank draft, money order, wire transfer, electronic payment or credit card. See Pages B8, B9, B11.

OPTIONAL ELECTRONIC/PAPER FILINGS

Assignment of an Applicant/Grantee Code may be requested in paper format (see Page B3). Fees may be paid by check, bank draft, money order, wire transfer, electronic payment or credit card.

Alternatively, an Applicant/Grantee Code may be obtained electronically (see Page B3). Fees may be paid by check, bank draft, money order, wire transfer, electronic payment or credit card. There is also a one-time option to submit an online credit card payment. An online payment requires the use of a system that supports at least 128-bit encryption.

FEE PAYMENT INFORMATION FOR APPLICANT/GRANTEE CODE ASSIGNMENT

All entities doing business with the FCC **MUST** have an FCC Registration Number (FRN). To obtain an FRN, visit <https://svartifoss2.fcc.gov/cores/CoresHome.html>. The FRN for the PAYER must be provided in Item 11 of the *FCC Remittance Advice, Form 159*. If the PAYER and APPLICANT are different entities, the FRN for the APPLICANT (Item 21) must also be provided.

The assignment of an Applicant/Grantee Code can be requested in a paper format; **OR**, the assignment of an Applicant/Grantee Code can be obtained online.

A. Paper format request for assignment of Grantee Code

A paper format request for a Grantee Code assignment should be sent to Mellon Bank (see Fee Payment Mailing Addresses on Page B9) and must include the following three items:

1) A Letter of Request. The letter should specify the complete, legal business name and address of the prospective grantee; and the name, title, telephone and FAX numbers, and e-mail address of the contact person at the business

2) A completed FCC Remittance Advice, Form 159. A blank *Form 159* can be retrieved at <http://www.fcc.gov/formpage.html>. Item 24A of the *Form 159* must contain EAG, which is the pertinent Payment Type Code (PTC). No information should be entered into either the FCC Code 1 block (Item 28A) or the FCC Code 2 block (Item 29A). See Page B8.

3) The fee payment. Fees may be paid by check, bank draft, money order, wire transfer, electronic payment or credit card. See Pages B6 and B11.

B. Electronic (Online) Assignment of Grantee Code

To obtain an online Grantee Code assignment, click Grantee Registration under Filing Options at <https://gullfoss2.fcc.gov/prod/oet/cf/eas/index.cfm>. ***Payment for a Grantee Code obtained online must be submitted in a timely manner, in order that the payment can be processed prior to thirty (30) calendar days of the date the Grantee Code is assigned, or the Grantee Code will automatically be removed from our database.*** It is suggested that the payment be submitted no later than seven (7) calendar days after the online Grantee Code assignment.

Fees may be paid by check, bank draft, money order, wire transfer, electronic payment or credit card. Additionally, a one-time opportunity to submit an online credit card payment for the Grantee Code only, is presented immediately after the online assignment of the Grantee Code. An online credit card payment requires a system that supports at least 128-bit encryption. If the one-time online credit card payment is not utilized for any reason, see Page B6 for Methods of Payment.

If an *Application for Equipment Authorization, FCC Form 731* is submitted immediately after an online Grantee Code assignment, see Page B5; otherwise, the *Form 731* may be submitted at any time after obtaining an online Grantee Code.

**FEE PAYMENT INFORMATION FOR
APPLICATION FOR EQUIPMENT AUTHORIZATION,
FCC FORM 731**

All entities doing business with the FCC **MUST** have an FCC Registration Number (FRN). To obtain an FRN, visit <https://svartifoss2.fcc.gov/cores/CoresHome.html>. The FRN for the PAYER must be provided in Item 11 of the *FCC Remittance Advice, Form 159*. If the PAYER and APPLICANT are different entities, the FRN for the APPLICANT (Item 21) must also be provided.

To submit an *Application for Equipment Authorization, FCC Form 731*, click Form 731 under Filing Options at <https://gullfoss2.fcc.gov/prod/oet/cf/eas/index.cfm>.

Exhibits must be uploaded within five (5) calendar days of the application submittal and/or fee payment must be submitted and processed within fifteen (15) calendar days of the application submittal or the application may be dismissed.

Fees may be paid by check, bank draft, money order, wire transfer, electronic payment or credit card. The option to submit an online credit card payment * is available after the *Form 731* Confirmation Number (EA#) is presented. The online payment is also available at Return to 159 Form under Filing Options at <https://gullfoss2.fcc.gov/prod/oet/cf/eas/index.cfm>. An online credit card payment requires a system that supports at least 128-bit encryption.

An *Application for Equipment Authorization, FCC Form 731* may be submitted at any time after obtaining an online Grantee Code.

* For an online credit card payment the *Remittance Advice, FCC Form 159*, should **not** be sent to Mellon Bank.

**FEE PAYMENT INFORMATION FOR
BOTH
ONLINE APPLICANT/GRANTEE CODE ASSIGNMENT AND
APPLICATION FOR EQUIPMENT AUTHORIZATION, FCC FORM 731**

All entities doing business with the FCC **MUST** have an FCC Registration Number (FRN). To obtain an FRN, visit <https://svartifoss2.fcc.gov/cores/CoresHome.html>. The FRN for the PAYER must be provided in Item 11 of the *FCC Remittance Advice, Form 159*. If the PAYER and APPLICANT are different entities, the FRN for the APPLICANT (Item 21) must also be provided.

The opportunity to submit the *Application for Equipment Authorization, FCC Form 731* is presented after the online assignment of the Grantee Code. If the *Form 731* is submitted at that time, the fee payment should include **BOTH** the fee for the assignment of the Grantee Code **AND** the appropriate *Form 731* filing fee (including the fee for Confidentiality, if it is being requested).

Exhibits must be uploaded within five (5) calendar days of the application submittal and/or fee payment must be submitted and processed within fifteen (15) calendar days of the application submittal or the application may be dismissed.

Fees may be paid by check, bank draft, money order, wire transfer, electronic payment or credit card. The online credit card payment * may be submitted immediately after the confirmation of the *Equipment Authorization Application, FCC Form 731* is presented, or it may be paid at Return to 159 Form under Filing Options. An online credit card payment requires a system that supports at least 128-bit encryption.

*** For an online credit card payment the *Remittance Advice, FCC Form 159*, should not be sent to Mellon Bank.**

METHODS OF PAYMENT

(ALSO SEE PART A OF THIS FEE GUIDE)

Fees may be paid by check, bank draft, money order, wire transfer, electronic payment or credit card. Online credit card payments are also available; see below. Your remittance must be denominated in U.S. dollars, drawn on a U.S. financial institution and made payable to "FCC." No postdated, altered or third party checks will be accepted. Also, no checks will be accepted for processing if older than six months. The Commission will accept Visa, MasterCard, American Express, and Discover credit cards.

Check; Money Order; Credit Card (not online)

Send payment, along with *FCC Remittance Advice, Form 159* * to Mellon Bank. See Pages B8, B9, B11. **Do not send any other paperwork or correspondence (except when submitting a paper request for the assignment of a Grantee Code. See Page B3).**

Online credit card payment (requires a system that supports at least 128-bit encryption)

For an online credit card payment the *FCC Remittance Advice, Form 159*, should not be sent to Mellon Bank.

For an online Grantee Code assignment (Page B3): A one-time opportunity to submit an online credit card payment is presented immediately after the Grantee Code has been assigned.

For a filing of *Application for Equipment Authorization, FCC Form 731* (Page B4): An online credit card payment may be submitted 1) immediately after the presentation of the *Form 731* Confirmation Number (EA#); **OR** 2) click Return to Form 159 under Filing Options at <https://gullfoss2.fcc.gov/prod/oet/cf/eas/index.cfm>.

For a **combination** of an online Grantee Code assignment and filing of *Application for Equipment Authorization, FCC Form 731* together (Page B5): The opportunity to submit the *Application for Equipment Authorization, FCC Form 731* is presented after the online assignment of the Grantee Code. If the *Form 731* is submitted at that time, the fee payment should include **BOTH** the fee for the assignment of the Grantee Code **AND** the appropriate *Form 731* filing fee (including the fee for Confidentiality, if it is being requested). An online credit card payment may be submitted 1) immediately after the presentation of the *Form 731* Confirmation Number (EA#); **OR** 2) click Return to Form 159 under Filing Options at <https://gullfoss2.fcc.gov/prod/oet/cf/eas/index.cfm>.

Continued on Page B7

METHODS OF PAYMENT
Continued

Wire Transfer; Bank Draft

On the same business day, a completed *FCC Remittance Advice, Form 159* must be sent to Mellon Bank at least one (1) hour before the wire transfer (or the bank draft) is submitted. Indicate "Wire Transfer – Processing Fee Payment" on the *Form 159* * and FAX it to Mellon Bank at 412-209-6045. Do not FAX *Form 159* for any other method of payment. All pertinent Items of the *Form 159* must be completed, including, but not limited to Item 28A (and Item 28B, if necessary) and Item 29A (and Item 29B, if necessary). See Page B8.

The following information should be given to your bank in order to complete the wire processing transfer:

ABA Routing Number: 043000261
Receiving Bank: Mellon Pittsburgh
BNF: FCC/AC--9116106
OBI Field: (Skip one space between each information item)

"PROCESSING PAY"

FCC ACCOUNT NO: Enter the Payer FRN (FCC Registration Number)

FCC CODE 1: Enter information that is shown in Item 28A of *Form 159* (see Page B8)

FCC CODE 2 (Item 29A): Enter information that is shown in Item 29A of *Form 159* (see Page B8)

PAYOR NAME: Enter Name of Person Responsible for Payment

DAYTIME TELEPHONE NO: Enter information that is shown in Item 9 of *Form 159*

Please note: The bank performing the wire transfer (or the bank draft) may charge a service fee, which is separate from the fees required for the FCC filing. Do not deduct the bank's service fee from the fees due to the FCC.

Electronic Payments

See Part A of this Fee Guide for details.

*To retrieve a blank *FCC Remittance Advice, Form 159*: <http://www.fcc.gov/formpage.html>.

HOW TO COMPLETE AN FCC REMITTANCE ADVICE, FORM 159

For an online credit card payment, the *FCC Remittance Advice, Form 159* should not be sent to Mellon Bank

To retrieve a blank *FCC Remittance Advice, Form 159*: <http://www.fcc.gov/formpage.html>

Item 1 LOCKBOX # - Enter 358315

Item 3 – Enter total amount due

Section A – Complete PAYER INFORMATION

Item 11 – Enter PAYER FRN *

Item 12 – Leave blank – FRN replaces TIN

Section B – If PAYER and APPLICANT are different entities, complete Section B in addition to Section A

Item 21 – If PAYER and APPLICANT are different entities, enter APPLICANT FRN *

Section C – Refer to Page B11 and complete Items 24A; 26A; 27A

Item 28A and Item 29A:

If submitting payment with paper request for assignment of Grantee Code (Page B3), leave Item 28A and Item 29A blank

If submitting payment for online assignment of Grantee Code only (Page B3), enter the grantee code (i.e., XYZ) into Item 28A, and enter the grantee code preceded by 13EA into Item 29A (i.e., 13EAXYZ)

If submitting payment for Application for Equipment Authorization, FCC Form 731 (Page B4), enter the FCC ID into Item 28A, and enter the Form 731 Confirmation Number (EA#) preceded by 13 into Item 29A (i.e., 13EA123456)

If submitting payment for combination of online Grantee Code assignment and Application for Equipment Authorization, FCC Form 731 (Page B5), enter the FCC ID into Item 28A, and enter the Form 731 Confirmation Number (EA#) preceded by 13 into Item 29A (i.e., 13EA123456)

Items 24B; 26B; 27B; 28B; 29B – If additional procedures are being requested (i.e. Confidentiality), complete these Items

* All entities doing business with the FCC **MUST** have an FCC Registration Number (FRN). To obtain an FRN, visit <https://svartifoss2.fcc.gov/cores/CoresHome.html>. The FRN for the PAYER must be provided in Item 11 of the *FCC Remittance Advice, Form 159*. If the PAYER and APPLICANT are different entities, the FRN for the APPLICANT (Item 21) must also be provided.

FEE PAYMENT MAILING ADDRESSES

To retrieve a blank *FCC Remittance Advice, Form 159*: <http://www.fcc.gov/formpage.html>.

It is suggested that a copy of the completed *Form 159* be retained for your records.

If paying with a check or money order, send JUST the check or money order along with the completed *FCC Remittance Advice, Form 159* to Mellon Bank. **Do not send any other paperwork or correspondence (except when submitting a paper request for the assignment of a Grantee Code. See Page B3).**

If paying by credit card (not online *), send JUST the completed *FCC Remittance Advice, Form 159* to Mellon Bank. Complete, sign, and date Section E-Credit Card Payment Information, in addition to all other pertinent items. **Do not send any other paperwork or correspondence (except when submitting a paper request for the assignment of a Grantee Code. See Page B3).**

Place either of the above payments into an envelope addressed to Address1:

Federal Communications Commission
Equipment Approval Services
Post Office Box 358315
Pittsburgh, PA 15251-5315

(Post Office Box 358315 in the above mailing address corresponds with Item (1) LOCKBOX # on *FCC Form 159*, and notifies Mellon Bank that the payment is being submitted for an *Equipment Authorization Application, FCC Form 731*, and/or related filings)

If payment is being mailed, mail to Address 1.

To hand-deliver or to submit payment via courier service (i.e., DHL, FedEx, UPS, etc.), the envelope addressed to Address 1 should be placed into an outer envelope addressed to Address 2:

Federal Communications Commission
c/o Mellon Bank
Mellon Client Service Center
500 Ross Street
Room 670
Pittsburgh, PA 15262-0001

It is suggested that the delivery service tracking number be retained for your records.

*** For an online credit card payment the *FCC Remittance Advice, Form 159*, should not be sent to Mellon Bank.**

QUESTIONS/CONTACTS

Questions concerning filing procedures, fees and other administrative matters related to radio frequency equipment should be directed to:

Federal Communications Commission

Laboratory Division

Equipment Authorization Branch

Telephone: 301-362-3000

Facsimile: 301-362-3092

E-mail: labhelp@fcc.gov

Web: www.fcc.gov

TYPE OF APPLICATION	FCC FORM # OR DOCUMENT	PAYMENT TYPE CODE	FEE AMOUNTS
CERTIFICATION <u>1</u> /			
a) All Receivers (other than TV and FM)	Electronic (EL) Form 731 & EL or Paper (PA) Form 159	EEC	\$420.00
b) Parts 11, 15 & 18 Devices (other than receivers)	EL Form 731 & EL or PA Form 159	EGC	1,080.00
c) All other Devices	EL Form 731 & EL or PA Form 159	EFT	545.00
d) Class II Permissive Changes <u>2</u> /	EL Form 731 & EL or PA Form 159	EAC	55.00
e) Request for confidentiality <u>3</u> / under Certification	EL Form 731 & EL or PA Form 159	EBC	155.00
f) Class III Permissive Changes <u>4</u> /	EL Form 731 & EL or PA Form 159	ECC	545.00
ADVANCE APPROVAL OF SUBSCRIPTION TV SYSTEMS <u>5</u> /	PA Form 159 & Letter of Request	EIS	3,310.00
Request for confidentiality for Subscription TV Systems	PA Form 159 & Letter of Request	EBS	155.00
ASSIGNMENT OF GRANTEE CODE <u>6</u> / New Applicants applying for Certification	PA Form159 & Letter of Request OR EL Assignment of Code & EL Form 159 OR PA Form 159	EAG	55.00

EL = Electronic
PA = Paper

ENDNOTES - EQUIPMENT APPROVAL SERVICES

- 1/ Certification is an equipment authorization issued by the Federal Communications Commission (FCC) or a Telecommunications Certification Body (TCB) designated by the FCC, based on representations and test data submitted by the applicant. 47 CFR, Section 2.907.
- 2/ Modifications and Class II permissive changes, 47 CFR, Section 2.1043, apply **only to equipment that has been previously authorized**. Proposed modifications of authorized equipment may not be made to the equipment **prior** to authorization of the change(s) by the FCC or the TCB. Modifications requiring a change in equipment identification shall be submitted as a "Change in identification of (presently certified) equipment" filing, 47 CFR, Section 2.933 and shall be accompanied by the requisite exhibits and fees.
- 3/ Pursuant to 47 CFR, Section 0.459 of the rules, a **written justification** is required for requests to the FCC to withhold certain information within an application for equipment authorization (Form 731) from public inspection pursuant to the provisions of 47 CFR, Section 0.457(d). The confidentiality request should be uploaded into the application as a Cover Letter exhibit. For a composite device, the confidentiality payment is required only once. The single confidentiality payment will apply to the confidentiality requests for all portions of the same composite device filed at the same time.
- 4/ A Class III permissive change, 47 CFR, Section 2.1043, includes modifications to the software of a software defined radio transmitter (SDR) that change the frequency, modulation type, output power or maximum field strength outside the parameters previously approved. Class III changes are permitted only for equipment in which no Class II changes have been made from the originally approved device.
- 5/ Licensees of TV broadcast and low power TV stations may only conduct subscription operations by using an encoding system approved in advance by the Commission. No application form has been designated for requesting approval of subscription TV systems. A letter, accompanied by **FCC Remittance Advice, Form 159** and all data described in Subpart M of Part 2 of the Rules, is acceptable. Modifications to approved subscription TV systems which necessitate a new application for advance approval require an additional fee.
- 6/ The fee for an Applicant/Grantee Code is a **one-time charge** for a new applicant applying for authorization of equipment subject to Certification. **No Grantee Code is assigned for subscription TV system applicants.**
 - a) A paper request for the assignment of a Grantee Code must include 1) an *FCC Remittance Advice, Form 159*; 2) the required fee; and, 3) a letter of request. The letter should specify the complete, legal, business name and address of the prospective grantee; and the name, title, telephone and FAX numbers, and E-mail address of a contact person at the business. See Page B3.
 - b) **Payment for a Grantee Code obtained online must be submitted in a timely manner, in order that the payment can be processed prior to thirty (30) calendar days of the date the Grantee Code is assigned, or the Grantee Code will automatically be removed from our database.** It is suggested that the payment be submitted no later than seven (7) calendar days after the online Grantee Code assignment. See Page B3.

PART C

A. EXPERIMENTAL RADIO SERVICES

The applicable fees, fee addresses, fee type codes, filing forms and footnotes for Experimental Radio Services are listed on page C2 of this guide. Submit applications for experimental radio licenses to one of the addresses specified. The addresses are to be used for submission of applications/filings with fees **only**. Inquiries concerning fees and filing requirements should be addressed to the Experimental Licensing Branch at the address shown under "**QUESTIONS**".

- **PAYMENT OF FEES**

Refer to Part A of this guide for specific information concerning payment of fees and methods of payment. Fees may be paid **only by check, bank draft, money order or electronic payment. Do not send cash. Postdated, altered or third-party checks or, checks older than 6 months will not be accepted for processing. FCC Form 159, "Fee Remittance Advice" must** accompany your application(s)/filing(s).

- **QUESTIONS**

Direct all inquiries concerning filing procedures, fees and other administrative matters related to experimental radio services to:

Federal Communications Commission
Office of Engineering and Technology
Experimental Licensing Branch, Mail Stop: 1300E1
Washington, DC 20554
Telephone (202) 418-2479 - Facsimile (202) 418-1918

EXPERIMENTAL RADIO SERVICES FEES

FEE PAYMENTS: Applicants may select different fee payment options. Refer to Part A and page for detailed fee payment information.

Submit Experimental Radio Services applications to one of the addresses below. Applications with fees sent to other addresses will be returned to the applicant.

1. By Mail:

Federal Communications Commission
 Experimental Radio Services
 P.O. Box 358320
 Pittsburgh, PA 15251-5320

2. Hand-Delivery or Courier Service: *

Federal Communications Commission
 c/o Mellon Client Service Center
 500 Ross Street, Room 670
 Pittsburgh, PA 15262-0001
 (Attention: FCC Module Supervisor)

*Requires special handling as follows: Address inner envelope with address 1. Place in outer envelope/courier pack and address or deliver to address 2.

For additional fee filing information, call: (202) 418-0220.

Applications that are classified (**CONFIDENTIAL OR SECRET**) for National Security purposes **must** be filed as follows:

- o Submit **page 1 of FCC FORM 442** showing the appropriate fee type code, payment and a letter explaining that this is a **classified** application to the above fee address.
- Submit the remaining portion of the application to: Federal Communications Commission, Security Operations Staff, OSMD, Room 1B458, 445 12th St, SW, Washington, DC 20554.

TYPE OF APPLICATION		FCC FORM NO. OR DOCUMENT	FEE TYPE CODE	FEE PER FORM OR DOCUMENT
1.	New Station Authorization	442	EAE	\$55.00
2.	Modification of Authorization	442	EAE	\$55.00
3.	Renewal of Station Authorization	405	EAE	\$55.00
4.	Assignment or Transfer of Control	702 or 703	EAE	\$55.00
5.	Special Temporary Authority	159 and Correspondence	EAE	\$55.00
6.	Additional fee required for any of the above applications that request withholding from public inspection	159 and Correspondence	EAE	\$55.00

1/ Applications filed with a request for the Commission to withhold any information from public inspection pursuant to FCC rule provisions in Section 0.457(d) shall use the additional Fee Type Code EAE. These applications must also contain a letter justifying the withholding of information in accordance with Section 0.459 of the Rules.