

UNIVERSAL SERVICE
MONITORING REPORT
CC DOCKET No. 98-202

2010

(Data Received Through October 2010)

Prepared by Federal and State Staff for the

Federal-State Joint Board on Universal Service in

CC Docket No. 96-45

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Universal Service Monitoring Report

CC Docket No. 98-202

2010

Introduction and Summary

This is the fourteenth report in a series of reports prepared by federal and state staff members for the Federal-State Joint Board on Universal Service in CC Docket No. 96-45 (Universal Service Joint Board).¹ This report is based on information available to us as of October 2010. These reports contain information designed to monitor the impact of various universal service support mechanisms, and the methods used to finance them. These mechanisms were adopted by the Federal Communications Commission (Commission), based on recommendations from the Universal Service Joint Board. These reports are part of a monitoring program created by the Commission in 1997 to replace a similar program in CC Docket No. 87-339 that resulted in a series of nineteen *Monitoring Reports*.² The current program incorporates most of the information that was collected under the previous program, and also new materials from the reports of the administrator of the universal service support mechanisms, the Universal Service Administrative Company (USAC). To enhance our monitoring ability, we have created an open docket,³ which allows data, materials, comments, and studies to be submitted by any interested party at any time.

The monitoring program has proven to be valuable, not only as a report on the effects of the Commission's regulatory policies, but also as a complete census of all incumbent local exchange carriers. Because smaller carriers generally are exempt from most Commission reporting requirements, the *Monitoring Report* incorporates data from several sources, including the National Exchange Carrier Association (NECA) and USAC. USAC collects information from all eligible (including competitive) local exchange carriers to administer the universal service support mechanisms. NECA, at the direction of the Commission, collects information in order to administer the access charge pools and also provides information to USAC that is utilized in administering the Universal Service Fund. The *Monitoring Report*, therefore, contains the only available comprehensive data on all incumbent local exchange carriers, including data on such matters as the number of telephone lines, calling volumes, and certain types of costs. It also includes some information on other carriers.

This report presents data for the nine subject categories selected for monitoring. The first section provides information on the contributions to the universal service support mechanisms and industry revenues, on which those contributions are based. The next four sections provide information

1 The last report was released on December 31, 2009. *Universal Service Monitoring Report*, CC Docket No. 98-202, 2009 (Data Received Through August 2009), prepared by the Federal and State Staff for the Federal-State Joint Board on Universal Service in CC Docket No. 96-45.

2 *Federal-State Joint Board on Universal Service*, CC Docket No. 96-45, Report and Order, 12 FCC Rcd 8776, 9218, para. 869 (1997) (*Universal Service First Report and Order*). See 47 C.F.R. § 54.702(i).

3 CC Docket No. 98-202.

on the various support mechanisms: low-income support; high-cost support; schools and libraries support; and rural health care support. The remaining four sections provide information on matters that might be affected by the support mechanisms: subscribership and penetration; rates and price indices; network usage and growth; and quality of service. Two sections that appeared in monitoring reports through 2008: infrastructure; and revenues, expenses, and investment are no longer included in this report because the Commission has granted forbearance requests from the larger carriers that reported the data therein. The *Monitoring Report* is published once a year.

The following are highlights of some of the material in this report. Section 1 provides an update on industry revenues and the universal service program requirements and contribution factors. Section 2 includes the latest data on the Lifeline and Link Up programs. Section 3 includes the most recent projected payments for all of the high-cost support mechanisms, based on the quarterly reports from USAC. It also includes information from the latest filing by NECA for the high-cost loop fund. Section 4 includes updated data on the schools and libraries support mechanism. Section 5 includes updated data on the rural health care support mechanism. Section 6 includes the most recent Census data on subscribership from the Current Population Survey and the American Community Survey. It also includes data on telephone penetration by income by state and a discussion of the impact of Lifeline programs on penetration. Section 7 includes updated Consumer Price Index and Producer Price Index data and other updated rate information. Section 8 includes the latest NECA data on access minutes. Section 9 includes updated data on the quality of service from the Commission's Automated Reporting Management Information System (ARMIS) reports.

This entire report is available electronically through the Wireline Competition Bureau Statistical Reports⁴ Internet site, which can be reached at <http://www.fcc.gov/wcb/iatd/stats.html>. It is available in both page image (.pdf) format and in a compressed (.zip) format, which, when unzipped yields word processing and spreadsheet files. In addition, information received well in advance of the next *Monitoring Report* will be made available on an interim basis in separate staff reports or in raw data files (such as most NECA filings used in the *Monitoring Report*) on the Wireline Competition Bureau Statistical Reports Internet site.

For ease of public reference, parties submitting materials for this docket should provide a duplicate copy to the FCC's Reference Information Center,⁵ where copies of all materials filed in the docket are available for public reference.

This report has been prepared by the federal staff listed below and reviewed by the state staff listed below. These staff members can be contacted for further information:

General Information:	Jay Bennett (Federal) (202) 418-2761 James Eisner (Federal) (202) 418-7302
----------------------	---

Industry Revenues and Contributions:	James Eisner (Federal) (202) 418-7302
--------------------------------------	---------------------------------------

4 Formerly FCC-State Link.

5 Courtyard Level, 445 12th Street SW, Washington, DC 20554.

	<p>Susan Lee (Federal) (202) 418-1590 Craig Stroup (Federal) (202) 418-0989 Kay Marinos (Oregon) (503) 378-6730</p>
Low-Income Support:	<p>Suzanne Mendez (Federal) (202) 418-0941 James Eisner (Federal) (202) 418-7302 Natelle Dietrich (Missouri) (573) 751-7427 Denise Parrish (Wyoming) (307) 777-7427</p>
High-Cost Support:	<p>Jay Bennett (Federal) (202) 418-2761 James Eisner (Federal) (202) 418-7302 Joel Shifman (Maine) (207) 287-1381 Robert Haga (California) (415) 703-2538 Kerri DeYoung (Massachusetts) (617) 305-3580</p>
Schools and Libraries Support:	<p>Craig Stroup (Federal) (202) 418-0989 John Vu (Federal) (202) 418-2333 Karl Henry (Indiana) (317) 232-5585 Peter Pescosolido (Connecticut) (860) 827-2671</p>
Rural Health Care Support:	<p>Craig Stroup (Federal) (202) 418-0989 John Vu (Federal) (202) 418-2333 Vicki Helfrich (Mississippi) (601) 359-2660 George Young (Vermont) (802) 828-2358</p>
Subscribership and Penetration:	<p>James Eisner (Federal) (202) 418-7302 Earl Poucher (Florida) (850) 487-8242 Kathy Hagans (Ohio) (614) 466-9583</p>
Rates and Price Indices:	<p>Jonathan Kraushaar (Federal) (202) 418-0947 James Eisner (Federal) (202) 418-7302 Joel Shifman (Maine) (207) 287-1381 Christine Aarnes (Kansas) (785) 271-3132</p>
Network Usage:	<p>James Eisner (Federal) (202) 418-7302 Suzanne Mendez (Federal) (202) 418-0941 John Ridgway (Iowa) (515) 281-4034 Lori Kenyon (Alaska) (907) 263-2123</p>
Quality of Service:	<p>Jonathan Kraushaar (Federal) (202) 418-0947 Earl Poucher (Florida) (850) 487-8242 Brad Ramsay (NARUC) (202) 898-2207</p>

SERVICE LIST

All items filed in CC Docket No. 98-202 must be filed with the Secretary, Federal Communications Commission, 445 12th Street, SW, Room TW-B204, Washington, D.C. 20554, and the following Commissioners and staff members (e-mail addresses of staff members follow their mailing addresses):

DOCKET NO. 96-45 JOINT BOARD MEMBERS

Commissioner Mignon Clyburn
Joint Board Chair
Federal Communications Commission
445 12th Street SW, Room 8-A302
Washington, DC 20554

Commissioner Michael J. Copps
Federal Communications Commission
445 12th Street SW, Room 8-B115
Washington, DC 20554

Commissioner Meredith Attwell Baker
Federal Communications Commission
445 12th Street SW, Room 8-A204
Washington, DC 20554

Board Member John D. Burke
Vermont Public Service Board
112 State Street, 4th Floor
Montpelier, VT 05620-2701

Chairman Ray Baum
State Joint Board Chair
Public Utility Commission of Oregon
550 Capitol Street, N.E., Suite 215
P. O. Box 2148
Salem, OR 97308-2148

Assistant Attorney General Simon ffitch
Office of the Attorney General
Public Counsel Section
800 5th Avenue, Suite 2000
Seattle, WA 98104-3188

Commissioner Larry S. Landis
Indiana Utility Regulatory Commission
101 West Washington St., Suite 1500E
Indianapolis, IN 46204

Chairman James H. Cawley
Pennsylvania Public Utilities Commission
400 North Street
Commonwealth Keystone Building
Harrisburg, PA 17120-3265

DOCKET NO. 96-45 FEDERAL-STATE JOINT BOARD STAFF

Kay Marinos
Public Utility Commission of Oregon
550 Capitol Street, N.E., Suite 215
Salem, OR 97301
Kay.Marinos@state.or.us

Karl Henry
Indiana Utility Regulatory Commission
101 West Washington St., Suite 1500E
Indianapolis, IN 46204
khenry@urc.in.gov

Labros Pilalis
Pennsylvania Public Utilities Commission
P.O. Box 3265
Harrisburg, PA 17105
lpilalis@state.pa.us

George Young
Vermont Public Service Board
Drawer 20
112 State Street, 4th Floor
Montpelier, VT 05620-2701
George.Young@state.vt.us

Lori Kenyon
Regulatory Commission of Alaska
701 West 8th Avenue, Suite 300
Anchorage, AK 99501
Lorraine.Kenyon@Alaska.gov

Joel Shifman
Maine Public Utilities Commission
242 State Street
State House Station 18
Augusta, ME 04333-0018
Joel.Shifman@maine.gov

Christine Aarnes
Kansas Corporation Commission
1500 SW Arrowhead Rd.
Topeka, KS 66604
c.aarnes@kcc.ks.gov

John Ridgway
Iowa Utilities Board
350 Maple Street
Des Moines, IA 50319-0069
John.Ridgway@iub.state.ia.us

Vicki Helfrich
Mississippi Dept. of Information Technology
301 North Lamar Street, Suite 508
Jackson, MS 39201-1495
Vicki.Helfrich@its.ms.gov

Robert Haga
California Public Utility Commission
505 Van Ness Avenue
San Francisco, CA 94102
RWH@cpuc.ca.gov

Brad Ramsay
NARUC
1101 Vermont Ave. NW, Suite 200
Washington, DC 20005
jramsay@naruc.org

Peter Pescosolido
Connecticut Department of Public Utility Control
10 Franklin Square
New Britain, CT 06051
Peter.Pescosolido@po.state.ct.us

Natelle Dietrich
Missouri Public Service Commission
Governor's Office Building
200 Madison Street
Jefferson City, MO 65102
Natelle.Dietrich@psc.mo.gov

Kerri DeYoung
Massachusetts Dept. of Telecommunications & Cable
1000 Washington Street, Suite 820
Boston, MA 02118-6500
Kerri.DeYoung@state.ma.us

Denise Parrish
Wyoming Office of Consumer Advocate
2515 Warren Avenue, Suite 304
Cheyenne, WY 82002
dparri@state.wy.us

Earl Poucher
Florida Office of the Public Counsel
111 West Madison, Room 812
Tallahassee, FL 32399-1400
Poucher.Earl@leg.state.fl.us

Kathy Hagans
Office of Ohio Consumers' Counsel
10 W. Broad St., Suite 1800
Columbus, OH 43215
hagans@occ.state.oh.us

Jing Liu
Washington Utilities & Transportation Commission
P.O. Box 47250
1300 South Evergreen Park Drive, SW
Olympia, WA 98504-7250
jliu@utc.wa.gov

Angela Kronenberg
Office of Commissioner Clyburn
Federal Communications Commission
445 12th Street SW, Room 8A-302
Washington, DC 20554
Angela.Kronenberg@fcc.gov

Bradley Gillen
Office of Commissioner Baker
Federal Communications Commission
445 12th Street SW, Room 8-A204B
Washington, DC 20554
Bradley.Gillen@fcc.gov

Carol Matthey, Deputy Chief
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 5-C352
Washington, DC 20554
Carol.Matthey@fcc.gov

Rebekah Goodheart, Associate Chief
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 5-C330
Washington, DC 20554
Rebehah.Goodheart@fcc.gov

Trent Harkrader, Chief
Telecommunications Access Policy Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 5-A426
Washington, DC 20554
Trent.Harkrader@fcc.gov

Gary Seigel
Telecommunications Access Policy Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 5-C408
Washington, DC 20554
Gary.Seigel@fcc.gov

Margaret McCarthy
Office of Commissioner Capps
Federal Communications Commission
445 12th Street SW, Room 8-B115C
Washington, DC 20554
Margaret.McCarthy@fcc.gov

Sharon Gillett, Chief
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 5-C354
Washington, DC 20554
Sharon.Gillette@fcc.gov

Patrick Halley, Legal Advisor
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 5-C347
Washington, DC 20554
Patrick.Halley@fcc.gov

Elise Kohn, Telecommunications Policy Advisor
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 5-C418
Washington, DC 20554
Elise.Kohn@fcc.gov

Amy Bender, Deputy Chief
Telecommunications Access Policy Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 5-B425
Washington, DC 20554
Amy.Bender@fcc.gov

Ted Burmeister
Telecommunications Access Policy Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 5-B438
Washington, DC 20554
Theodore.Burmeister@fcc.gov

Katie King Telecommunications Access Policy Division Wireline Competition Bureau Federal Communications Commission 445 12th Street SW, Room 5-B544 Washington, DC 20554 Katie.King@fcc.gov	Elizabeth Valinoti McCarthy Telecommunications Access Policy Division Wireline Competition Bureau Federal Communications Commission 445 12th Street SW, Room 5-A364 Washington, DC 20554 Elizabeth.McCarthy@fcc.gov
--	---

Jamie Susskind Telecommunications Access Policy Division Wireline Competition Bureau Federal Communications Commission 445 12th Street SW, Room 5-B418 Washington, DC 20554 Jamie.Susskind@fcc.gov	Rebekah Bina Telecommunications Access Policy Division Wireline Competition Bureau Federal Communications Commission 445 12th Street SW, Room 5-B521 Washington, DC 20554 Rebekah.Bina@fcc.gov
--	--

Cindy Spiers Telecommunications Access Policy Division Wireline Competition Bureau Federal Communications Commission 445 12th Street SW, Room 5-A340 Washington, DC 20554 Cindy.Spiers@fcc.gov	Robert (Beau) Finley Telecommunications Access Policy Division Wireline Competition Bureau Federal Communications Commission 445 12th Street SW, Room 5-B541 Washington, DC 20554 Robert.Finley@fcc.gov
--	---

Kimberly Scardino
Telecommunications Access Policy Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 5-B448
Washington, DC 20554
Kimberly.Scardino@fcc.gov

OTHER FEDERAL STAFF

Jay Bennett
Industry Analysis & Technology Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 6-C212
Washington, DC 20554
Jay.Bennett@fcc.gov

Alan Feldman, Deputy Division Chief
Industry Analysis & Technology Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 6-A223
Washington, DC 20554
Alan.Feldman@fcc.gov

Suzanne Mendez
Industry Analysis & Technology Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 6-A144
Washington, DC 20554
Suzanne.Mendez@fcc.gov

Craig Stroup
Industry Analysis & Technology Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 6-A104
Washington, DC 20554
Craig.Stroup@fcc.gov

Susan Lee
Industry Analysis & Technology Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 6-B145
Washington, DC 20554
Susan.Lee@fcc.gov

Rodger Woock, Division Chief
Industry Analysis & Technology Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 6-A224
Washington, DC 20554
Rodger.Woock@fcc.gov

Jim Lande
Industry Analysis & Technology Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 6-A134
Washington, DC 20554
Jim.Lande@fcc.gov

James Eisner
Industry Analysis & Technology Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 6-A102
Washington, DC 20554
James.Eisner@fcc.gov

John Vu
Industry Analysis & Technology Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 6-A360
Washington, DC 20554
John.Vu@fcc.gov

Jonathan Kraushaar
Industry Analysis & Technology Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street SW, Room 6-A262
Washington, DC 20554
Jonathan.Kraushaar@fcc.gov