

**Before the  
Federal Communications Commission  
Washington, D.C. 20554**

In the Matter of	)	
	)	
<b>Urban Radio I, LLC</b>	)	NAL/Acct. No. MB-200841410015
	)	FRN: 0011744265
Applications For Renewal of License for Stations	)	
WLIB(AM), New York, New York	)	Facility I.D. No. 28204
	)	File No. BR-20060131AYJ
and	)	
WBL5-FM, New York, New York	)	Facility I.D. No. 28203
	)	File No. BRH-20060131AXZ

**MEMORANDUM OPINION AND ORDER AND  
NOTICE OF APPARENT LIABILITY FOR FORFEITURE**

**Adopted: October 8, 2008**

**Released: December 30, 2008**

By the Commission: Commissioners Copps and Adelstein issuing a joint statement.

**I. INTRODUCTION**

1. In this *Memorandum Opinion and Order and Notice of Apparent Liability for Forfeiture* (“NAL”) issued pursuant to Sections 309(k) and 503(b) of the Communications Act of 1934, as amended (the “Act”), and Section 1.80 of the Commission’s Rules (the “Rules”),<sup>1</sup> we find that Urban Radio I, LLC (the “Licensee”), licensee of Stations WLIB(AM) and WBL5-FM, New York, New York (the “Stations”), apparently willfully and repeatedly violated Sections 73.2080(c)(3), 73.2080(c)(5), 73.2080(c)(6)(iv), and 73.3526(e)(7) of the Rules by failing to comply with the Commission’s Equal Employment Opportunity (“EEO”) self-assessment, record keeping, interviewee information, and public file requirements.<sup>2</sup> Based upon our review of the facts and circumstances before us, we conclude that the Licensee is apparently liable for a monetary forfeiture in the amount of eight thousand dollars (\$8,000). We impose reporting conditions on the Licensee and any successor licensee of either or both of the Stations to prevent future violations of these requirements. We also grant the captioned renewal application for Station WLIB(AM).

**II. BACKGROUND**

2. Section 73.2080(c)(1) of the Rules requires that a broadcast licensee recruit for every full-time job vacancy in its employment unit operation. In this regard, the Rules impose, *inter alia*, the following related obligations on such licensees:

- Section 73.2080(c)(3): analyze the recruitment program for the licensee’s unit on an ongoing basis to ensure that it is effective in achieving broad outreach to potential applicants, and address any problems found as a result of its analysis;
- Section 73.2080(c)(5): retain records of its EEO recruitment efforts; and
- Section 73.2080(c)(6)(iv): maintain interviewee data.

<sup>1</sup> 47 U.S.C. §§ 309(k) and 503(b); 47 C.F.R. § 1.80.

<sup>2</sup> See 47 C.F.R. §§ 73.2080(c)(3), 73.2080(c)(5), 73.2080(c)(6)(iv), and 73.3526(e)(7).

In addition, Section 73.3526(e)(7) requires commercial licensees to include certain EEO-related materials in their station public files, including some of the materials noted above.

3. *EEO Review.* We have before us the Licensee's captioned license renewal applications for Stations WLIB(AM) and WBLS-FM and accompanying Broadcast EEO Program Report (Form 396). We reviewed the applications and EEO public file reports for the Licensee's compliance at the employment unit with Section 73.2080 of the Rules<sup>3</sup> and have before us the Licensee's responses to our inquiries.<sup>4</sup> In April 2008, we also randomly audited the Licensee for its compliance with Section 73.2080 of the Rules<sup>5</sup> and have before us the Licensee's response to the audit letter.<sup>6</sup> Our review shows that, during the four reporting periods at issue (February 1, 2004, through January 31, 2005; February 1, 2005, through January 31, 2006; February 1, 2006, through January 31, 2007; and February 1, 2007, through January 31, 2008), the Licensee did not retain adequate records of its EEO recruitment efforts, as required by Section 73.2080(c)(5). Specifically, the unit's 2005, 2006, 2007 and 2008 EEO public file reports failed to list the total number of persons interviewed for all full-time vacancies and the total number of interviewees referred by each recruitment source, as required by Section 73.2080(c)(6)(iv), because it did not retain records of this information. This information is required to be placed in the public inspection file of each station in the unit by Section 73.3526(e)(7). These failures, which continued over a period of four years, reveal a continuing lack of self-assessment, in violation of Section 73.2080(c)(3). The Licensee reports that it is unable to locate the required records, and the human resources employee responsible for collecting and managing the records has left the employment of the Licensee.<sup>7</sup>

### III. DISCUSSION

4. We conclude that the Licensee failed to maintain adequate interviewee and referral records for all of its vacancies for its 2005, 2006, 2007 and 2008 reporting periods, as required by Section 73.2080(c)(5). The Stations reported three vacancies in their 2005 reporting period, two vacancies in the 2006 reporting period, 15 vacancies in the 2007 reporting period, and seven vacancies in the 2008 reporting period. The Stations' 2005, 2006, 2007 and 2008 EEO public file reports failed to list the total number of persons interviewed for any of the 27 Station full-time vacancies filled and to list the total number of interviewees referred by each of the Stations' recruitment sources, as required by Section 73.2080(c)(6)(iv). Because of the Licensee's lack of records of interviewees and referrals, we find that it was not possible for it to have adequately analyzed its recruitment program to ensure that it was effective in achieving broad outreach, in violation of Section 73.2080(c)(3). Finally, we find that it failed to place required information in its public inspection file, in violation of Section 73.3526(e)(7).

5. This *NAL* is issued pursuant to Section 503(b)(1)(B) of the Act. Under that provision, any person who is determined by the Commission to have willfully or repeatedly failed to comply with any provision of the Act or any rule, regulation, or order issued by the Commission shall be liable to the

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<sup>3</sup> E-mails from EEO Staff, Policy Division, Media Bureau, to Charles Naftalin and Reginal Leichty, attorneys for Urban Radio I, LLC (Apr. 24, 2006, Dec. 7, 2006, and Dec. 7, 2007).

<sup>4</sup> Letter from Charles Naftalin, Esq., attorney for Urban Radio I, LLC, to EEO Staff, Policy Division, Media Bureau (Jan. 17, 2007); e-mail from Reginal Leichty, attorney for Urban Radio I, LLC, to EEO Staff, Policy Division, Media Bureau (Dec. 19, 2007).

<sup>5</sup> Letter from Lewis Pulley, Assistant Chief, Policy Division, Media Bureau, to Urban Radio I, LLC (Apr. 2, 2008).

<sup>6</sup> Letter from Lois Wright, Esq., Manager, Executive Vice President and company counsel for Urban Radio I, LLC, to Marlene Dortch, Secretary, Office of the Secretary (May 12, 2008).

<sup>7</sup> Letter from Charles Naftalin, Esq., attorney for Urban Radio I, LLC, to EEO Staff, Policy Division, Media Bureau (Jan. 17, 2007).

United States for a forfeiture penalty.<sup>8</sup> Section 312(f)(1) of the Act defines willful as “the conscious and deliberate commission or omission of [any] act, irrespective of any intent to violate” the law.<sup>9</sup> The legislative history of Section 312(f)(1) of the Act clarifies that this definition of willful applies to both Sections 312 and 503(b) of the Act,<sup>10</sup> and the Commission has so interpreted the term in the Section 503(b) context.<sup>11</sup> Section 312(f)(2) of the Act provides that “[t]he term ‘repeated,’ when used with reference to the commission or omission of any act, means the commission or omission of such act more than once or, if such commission or omission is continuous, for more than one day.”<sup>12</sup>

6. The Commission’s *Forfeiture Policy Statement* and Section 1.80(b)(4) of the Rules do not establish base forfeiture amounts for specific EEO rule violations, such as a failure to self-assess EEO performance. However, they do establish a base forfeiture amount of \$10,000 for violation of the Commission’s public file rules, of \$3,000 for failure to file required information, and of \$1,000 for failure to maintain required records.<sup>13</sup> In determining the appropriate forfeiture amount, we may adjust the base amount upward or downward by considering the factors enumerated in Section 503(b)(2)(D) of the Act, including “the nature, circumstances, extent and gravity of the violation, and, with respect to the violator, the degree of culpability, any history of prior offenses, ability to pay, and such other matters as justice may require.”<sup>14</sup>

7. As discussed *supra*, the Licensee failed to maintain adequate EEO records for any of its 27 vacancies for the 2005, 2006, 2007 and 2008 reporting periods; list any interviewee data on its 2005, 2006, 2007 and 2008 EEO public file reports; self-assess its EEO program; and place required information in its public inspection file. Accordingly, based upon the facts before us, and the factors noted above, and pertinent precedent,<sup>15</sup> we find that the Licensee is apparently liable for the following respective forfeiture amounts for its willful and repeated violations of the Rules: \$2,000 for its violation of Section 73.2080(c)(3); \$2,000 for its violation of Section 73.2080(c)(5); \$3,000 for its violation of Section 73.2080(c)(6)(iv), and \$1,000 for its violation of Section 73.3526(e)(7), for a total proposed forfeiture in the amount of \$8,000. We will also impose reporting conditions as set forth below, to ensure that the Licensee and any successor licensee of the Stations maintains an adequate EEO program in compliance with the Rules.

8. *License Renewal Application.* In evaluating an application for license renewal, the Commission’s decision is governed by Section 309(k) of the Act. That Section provides that if, upon consideration of the application and pleadings, we find that (1) the station has served the public interest,

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<sup>8</sup> 47 U.S.C. § 503(b)(1)(B). *See also* 47 C.F.R. § 1.80(a)(1).

<sup>9</sup> 47 U.S.C. § 312(f)(1).

<sup>10</sup> *See* H.R. Rep. No. 97-765, 97<sup>th</sup> Cong. 2d Sess. 51 (1982).

<sup>11</sup> *See Southern California Broadcasting Co.*, Memorandum Opinion and Order, 6 FCC Rcd 4387, 4388 (1991).

<sup>12</sup> 47 U.S.C. § 312(f)(2).

<sup>13</sup> *See Forfeiture Policy Statement and Amendment of Section 1.80(b) of the Rules to Incorporate the Forfeiture Guidelines*, Report and Order, 12 FCC Rcd 17087, 17113-15 (1997) (“*Forfeiture Policy Statement*”), *recon. denied*, 15 FCC Rcd 303 (1999); 47 C.F.R. § 1.80(b)(4), note to paragraph (b)(4), Section I.

<sup>14</sup> 47 U.S.C. § 503(b)(2)(D); *see also Forfeiture Policy Statement*, 12 FCC Rcd at 17100-01, ¶27; 47 C.F.R. § 1.80(b)(4); 47 C.F.R. § 1.80(b)(4), note to paragraph (b)(4), Section II.

<sup>15</sup> In *Waite Omaha, LLC*, we proposed an \$8,000 forfeiture for a licensee’s violations of various EEO rules, specifically Sections 73.2080(c)(3), 73.2080(c)(5), 73.2080(c)(6)(iii), 73.2080(c)(6)(iv), and 73.3526(e)(7). The licensee had failed to maintain required EEO records, list the recruitment source of hires, provide data on the numbers of persons interviewed and referred from each recruitment source, self-assess adequately, and place required EEO information in its public inspection file. *In the Matter of Waite Omaha, LLC*, Notice of Apparent Liability for Forfeiture, 22 FCC Rcd 2661 (2007) (response pending).

convenience, and necessity; (2) there have been no serious violations of the Act or the Rules; and (3) there have been no other violations which, taken together, constitute a pattern of abuse, we are to grant the renewal application.<sup>16</sup> If, however, the licensee fails to meet that standard, the Commission may deny the application – after notice and opportunity for a hearing under Section 309(e) of the Act – or grant the application “on terms and conditions that are appropriate, including a renewal for a term less than the maximum otherwise permitted.”<sup>17</sup>

9. We find that the Licensee’s violations of the Commission’s EEO rules discussed above do not constitute “serious violations” of the Rules of such gravity that they warrant designation of the WLIB(AM) renewal application for evidentiary hearing.<sup>18</sup> We also find no evidence of violations that, when considered together, evidence a pattern of abuse with regard to that station.<sup>19</sup> Further, we find that the Licensee served the public interest, convenience, and necessity with Station WLIB(AM) during its license term.<sup>20</sup> We will therefore grant the license renewal application below for Station WLIB(AM). The renewal application for Station WBL5-FM remains pending before the Bureau due to other outstanding matters.

#### IV. ORDERING CLAUSES

10. Accordingly, IT IS ORDERED, pursuant to Section 503(b) of the Communications Act of 1934, as amended, and Section 1.80 of the Commission’s Rules, that Urban Radio I, LLC is hereby NOTIFIED of its APPARENT LIABILITY FOR FORFEITURE in the amount of eight thousand dollars (\$8,000) for its apparent willful and repeated violation of Sections 73.2080(c)(3), 73.2080(c)(5), 73.2080(c)(6)(iv), and 73.3526(e)(7) of the Commission’s Rules.

11. IT IS FURTHER ORDERED, pursuant to Section 1.80 of the Commission’s Rules, that, within thirty (30) days of the release of this NAL, Urban Radio I, LLC SHALL PAY the full amount of the proposed forfeiture or SHALL FILE a written statement seeking reduction or cancellation of the proposed forfeiture.

12. Payment of the forfeiture must be made by check or similar instrument, payable to the order of the Federal Communications Commission. The payment must include the NAL/Account Number and FRN Number referenced above. Payment by check or money order may be mailed to Federal Communications Commission, P.O. Box 979088, St. Louis, MO 63197-9000. Payment by

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<sup>16</sup> 47 U.S.C. § 309(k)(1). The renewal standard was amended to read as described by Section 204(a) of the Telecommunications Act of 1996, Pub. L. No. 104-104, 110 Stat. 56 (1996). See *Implementation of Sections 204(a) and 204(c) of the Telecommunications Act of 1996 (Broadcast License Renewal Procedures)*, Order, 11 FCC Rcd 6363 (1996).

<sup>17</sup> 47 U.S.C. §§ 309(k)(2), 309(k)(3).

<sup>18</sup> See, e.g., *New Northwest Broadcasters LLC*, Memorandum Opinion and Order and Notice of Apparent Liability, DA 06-1921 (rel. Sept. 27, 2006); *Viper Communications*, Memorandum Opinion and Order and Notice of Apparent Liability, 20 FCC Rcd 20254 (MB 2005).

<sup>19</sup> For example, we do not find here that the Licensee's operation of Station WLIB(AM) "was conducted in an exceedingly careless, inept and negligent manner and that the licensee is either incapable of correcting or unwilling to correct the operating deficiencies." See *Heart of the Black Hills Stations*, 32 FCC 2d 196, 198, ¶6 (1971). Nor do we find on the record here that "the number, nature and extent" of the violations indicate that "the licensee cannot be relied upon to operate [that station] in the future in accordance with the requirements of its license and the Commission's Rules." *Heart of the Black Hills Stations*, 32 FCC2d at 200, ¶11. See also *Center for Study and Application of Black Economic Development*, 6 FCC Rcd 4622 (1991); *Calvary Educational Broadcasting Network, Inc.*, 7 FCC Rcd 4037 (1992).

<sup>20</sup> See 47 U.S.C. § 309(k).

overnight mail may be sent to U.S. Bank – Government Lockbox #979088, SL-MO-C2-GL, 1005 Convention Plaza, St. Louis, MO 63101. Payment by wire transfer may be made to ABA Number 021030004, receiving bank TREAS/NYC, and account number 27000001. For payment by credit card, an FCC Form 159 (Remittance Advice) must be submitted. When completing the FCC Form 159, enter the NAL/Account number in block number 23A (call sign/other ID), and enter the letters “FORF” in block number 24A (payment type code). Requests for full payment under an installment plan should be sent to: Chief Financial Officer – Financial Operations, 445 12th Street, S.W., Room 1-A625, Washington, D.C. 20554. Please contact the Financial Operations Group Help Desk at 1-877-480-3201 or Email: ARINQUIRIES@fcc.gov with any questions regarding payment procedures.

13. The response, if any, must be mailed to Office of the Secretary, Federal Communications Commission, 445 12<sup>th</sup> Street, S.W., Washington D.C. 20554, ATTN: Lewis Pulley, Assistant Chief, Policy Division, Media Bureau, and MUST INCLUDE the NAL/Acct. No. referenced above.

14. The Commission will not consider reducing or canceling a forfeiture in response to a claim of inability to pay unless the respondent submits: (1) federal tax returns for the most recent three-year period; (2) financial statements prepared according to generally accepted accounting practices (“GAAP”); or (3) some other reliable and objective documentation that accurately reflects the respondent’s current financial status. Any claim of inability to pay must specifically identify the basis for the claim by reference to the financial documentation submitted.

15. Requests for full payment of the forfeiture proposed in this *NAL* under the installment plan should be sent to: Associate Managing Director - Financial Operations, 445 12th Street, S.W., Room 1-A625, Washington D.C. 20554.<sup>21</sup>

16. IT IS FURTHER ORDERED that Urban Radio I, LLC and any successor licensee of Stations WLIB(AM) and/or WBLS-FM<sup>22</sup> submit to the Federal Communications Commission, Media Bureau, EEO Staff, an original and one copy of the following information on March 3, 2010; March 2, 2011; and March 1, 2012:

- (a) the Stations’ most recent EEO public file report;
- (b) dated copies of all advertisements, bulletins, letters, faxes, emails, or other communications announcing each full-time vacancy for the preceding reporting year;
- (c) the recruitment source that referred the hiree for each full-time vacancy; and
- (d) the total number of interviewees for each full-time vacancy for the preceding reporting year and the referral source for each interviewee.

17. IT IS FURTHER ORDERED, pursuant to Section 309(k) of the Communications Act of 1934, as amended, that the renewal application for Station WLIB(AM), New York, New York (File No. BR-20060131AYJ), IS GRANTED.

18. IT IS FURTHER ORDERED that, pursuant to Section 73.3526(e)(10) of the Commission’s Rules, Urban Radio I, LLC place a copy of this *NAL* in the Stations’ public inspection files.

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<sup>21</sup> See 47 C.F.R. § 1.1914.

<sup>22</sup> In the event of an assignment of either of the Stations, this continuing obligation will run with regard to the assignee of each Station assigned.

19. IT IS FURTHER ORDERED that copies of this *NAL* shall be sent, by First Class and Certified Mail, Return Receipt Requested, to Urban Radio I, LLC, 3 Park Ave., 40th Floor, New York, New York 10016, and to its counsel, Charles Naftalin, Esquire, Holland & Knight, 2099 Pennsylvania Ave., N.W., Suite 100, Washington D.C. 20006-6801.

FEDERAL COMMUNICATIONS COMMISSION

Marlene H. Dortch  
Secretary

**JOINT STATEMENT OF  
COMMISSIONER MICHAEL J. COPPS  
AND  
COMMISSIONER JONATHAN S. ADELSTEIN**

Re: *Dickey Broadcasting Company, Notice of Apparent Liability for Forfeiture; Urban Radio I, LLC, Memorandum Opinion and Order and Notice of Apparent Liability for Forfeiture; Puerto Rico Public Broadcasting Corporation, Memorandum Opinion and Order and Notice of Apparent Liability for Forfeiture; W.S. Communications, L.L.C., Memorandum Opinion and Order and Notice of Apparent Liability for Forfeiture; Cumulus Licensing L.L.C., Notice of Apparent Liability for Forfeiture; Fox Television Stations, Inc., Notice of Apparent Liability for Forfeiture*

The Commission's enforcement of Equal Employment Opportunity ("EEO") rules related to self-assessment, recordkeeping, recruitment source information, interviewee information, and public file requirements is one of the principal ways we strive to promote employment diversity and opportunities in the communications industry. While this is unquestionably the right thing to do, Sections 334 and 634 of the Communications Act specifically authorize the Commission to take steps to promote equality of employment opportunities for women and members of racial and ethnic minority groups. Our EEO rules, for example, require wide dissemination of job vacancies in order to attract all qualified employees. According to reports, from 1971 to 1997, employment of people of color in broadcasting increased from 5 to 19 percent, and from 12 to 43 percent for women during the same period.

In recent years, however, Commission enforcement of EEO rules has been inconsistent and, as one consequence, employment in broadcasting does not reflect America. As the Minority Media and Telecommunications Council has found, while the average forfeiture amount for EEO violations has increased, the Commission's EEO docket has decreased by 96 percent. Between 1994 and 1997, the Commission decided 251 cases, resulting in 86 forfeitures. Between 2004 and 2007, however, the Commission decided only 10 cases, resulting in 8 forfeitures.

Lax EEO enforcement has yielded less diversity in employment. The most recent report on employment trends in broadcasting by the Radio and Television News Directors Association/Hofstra University found that, while the minority population in the United States has risen 8.1 percent in the past 18 years, minority workforce in TV news is up 5.8 percent, and the minority workforce in radio is up by just 1 percent.

So it is clear that the Commission's recent efforts to promote employment diversity have been woefully inadequate. If we are truly committed to employment diversity, as required by law, we must get serious about restoring an effective and rational EEO enforcement program. This level of commitment is currently lacking at the FCC.

There are other, more pro-active, steps we should consider. At a minimum, we should make our process more transparent by compiling and releasing FCC Form 395 data, as well as developing annual and longitudinal employment reports. As we work with industry and advocacy groups in a cooperative manner, these initial steps should help us better understand employment trends in the communications industry and permit us to better craft more effective oversight.

Having a communications industry that reflects our nation's diversity would best serve a wide range of consumer and societal interests. It is not only a legal obligation, it is also the right thing to do.